

1 **Temperature and water vapor variance scaling in global**
2 **models: Comparisons to satellite and aircraft data**

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27

28 **Abstract**

29

30 Observations of the scale dependence of height-resolved temperature (T) and water vapor
31 (q) variability are valuable for improved subgrid-scale climate model parameterizations
32 and model evaluation. Variance spectral benchmarks for T and q obtained from the
33 Atmospheric Infrared Sounder (AIRS) are compared to those observed by state-of-the-art
34 numerical weather prediction and climate model “analyses” or “free-running” simulations
35 with spatial resolution comparable to AIRS. The T and q spectra from both types of
36 models are generally too steep such that small-scale variance is up to several factors
37 smaller than AIRS. However, the two model analyses more closely resemble AIRS than
38 the two free-running model simulations. Scaling exponents obtained for AIRS column
39 water vapor (CWV) and height-resolved layers of q are also compared to the super-
40 parameterized Community Atmospheric Model (SP-CAM) that highlight very large
41 differences between CWV and height-resolved q scaling characteristics. Height-resolved
42 q spectra obtained from aircraft observations during the Variability of the American
43 Monsoon Systems Ocean-Cloud-Atmosphere-Land Study Regional Experiment
44 (VOCALS-REx) demonstrate changes in scaling exponents that depend on the
45 observations’ proximity to the base of the subsidence inversion with scale breaks that
46 occur at approximately the dominant cloud scale ($\sim 10\text{--}30$ km). This suggests finer spatial
47 resolution requirements must be considered in future satellite observations of T and q
48 than those currently planned for infrared and microwave satellite sounders.

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51 **1. Introduction**

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53 The scale dependences of atmospheric horizontal winds, potential temperature (θ)
54 and kinetic energy (KE) power spectra obtained from aircraft observations follow an
55 approximate -3 power law wave number scaling for length scales > 800 km (and $-5/3$ for
56 scales < 500 km) (e.g., Nastrom and Gage, 1985), but a complete physical explanation of
57 their origin remains vigorously debated (e.g., Tung and Orlando, 2003; Tulloch and
58 Smith, 2006; Lindborg, 2009; Lindborg et al., 2009; Lovejoy et al. 2009; Smith and
59 Tulloch, 2009; Tuck, 2010). Observational benchmarks of scaling exponents have been
60 used to evaluate KE spectra obtained from relatively low (Koshyk et al., 1999) and high
61 (Skamarock, 2004; Takahashi et al., 2006; Hamilton et al., 2008) resolution NWP and
62 climate models in order to gain insights on model physics and determine whether the -3
63 to $-5/3$ break in the mesoscale region is faithfully represented.

64 Most of the emphasis to date in the literature focuses on comparisons of KE
65 spectra between observations and models (Hamilton et al., 2008). The scale dependences
66 of the variability of temperature (T) and especially water vapor (q) are not well
67 characterized in space and time on a global basis, despite both variables strongly
68 controlling cloud processes at the subgrid-scale in NWP and climate models (Cusack et
69 al., 1999; Tompkins, 2002; Wang et al., 2010) and, therefore, help to establish the sign
70 and magnitude of cloud feedbacks (Randall et al., 2007). Furthermore, the few existing
71 aircraft studies of q spectra in the mesoscale (e.g., Nastrom et al., 1986; Cho et al., 2000)

72 support an approximate -2 scaling with little or no mesoscale break reported, in stark
73 contrast to potential temperature and KE spectra.

74 Recently, Kahn and Teixeira (2009; herein KT09) obtained a global
75 observationally based climatology of T and q variance scaling derived from the
76 Atmospheric Infrared Sounder (AIRS)/Advanced Microwave Sounding Unit (AMSU)
77 (hereafter “AIRS”) suite between ~ 150 – 1300 km. A rich variety of structure in the
78 scaling exponents was revealed from these observations that were not previously reported
79 from aircraft (e.g., Nastrom and Gage, 1985) and satellite observational studies (e.g.,
80 Cahalan et al., 1994) owing to aircraft sampling limitations, and ambiguities in
81 interpreting raw satellite radiances (KT09). Geophysical products obtained from satellite
82 sounders and imagers are potentially very powerful because of their ability to represent a
83 scale-dependent probability distribution function (PDF) over, potentially, orders of
84 magnitude in spatial scale, depending on the sensor spatial resolution and swath
85 characteristics, with near global sampling coverage. Furthermore, it is the scale
86 dependence of joint PDFs of T and q that dictate the distributions of cloud condensate
87 (e.g., Kawai and Teixeira, 2010; Wang et al., 2010) and climate sensitivity (e.g.,
88 Kuwano-Yoshida et al., 2010).

89 In this paper, scaling exponents derived from AIRS, two contemporary NWP
90 model analyses, two “free-running” climate models, one “super-parameterized” climate
91 model, and aircraft data from the Variability of the American Monsoon Systems Ocean-
92 Cloud-Atmosphere-Land Study (VOCALS) Regional Experiment (VOCALS-REx)
93 (Wood et al., 2010) are described, where AIRS and model horizontal resolutions are
94 comparable. Although many published aircraft-based turbulence spectrum studies exist,

95 especially with regard to the free troposphere (e.g., Nastrom and Gage, 1985), the present
96 paper emphasizes VOCALS-REx data within stratocumulus because of its large potential
97 contribution to cloud-climate feedback (Bony and Dufresne, 2005). We will show that the
98 climate and NWP models evaluated herein tend to under-estimate small-scale variance of
99 T and q , but important differences exist among them in relation to data assimilation,
100 initialization and subgrid-scale parameterizations.

101

102 **2. Model and observational data**

103

104 The AIRS on EOS Aqua has observed up to 324,000 vertical profiles of T and q on
105 a daily basis since September 2002 (Aumann et al. 2003). The retrieval approach is based
106 on the cloud-clearing methodology (Susskind et al. 2003) and yields a nominal spatial
107 resolution of about 45 km at nadir. The sampling, precision and quality control of T and q
108 are discussed in KT09, Maddy and Barnett (2008), and elsewhere. The scaling exponents
109 (0.33, 0.5, and $1.0 \cong -5/3$, -2 , and -3 , respectively) are obtained by fitting power laws to
110 observed variance spectra of T and q within $12^\circ \times 12^\circ$ and smaller grid boxes and on
111 tropospheric standard pressure levels following the approach in KT09. This grid size
112 choice resolves the mesoscale range (1.5, 2.0, 3.0, 4.0, 6.0, and 12.0° scales) that contains
113 the canonical -3 and $-5/3$ mesoscale break (Nastrom and Gage, 1985) but also resolves
114 regional, latitudinal, and land/ocean variations. Separate exponents are obtained for the
115 $1.5\text{--}4.0^\circ$ (small) and $6.0\text{--}12.0^\circ$ (large) scales. The large-scale exponent is fit to fewer
116 points than in KT09, but comparisons between this approach and KT09 exhibit virtually
117 no difference in the derived magnitude, and saves greatly on computational expense.

118 Results herein are restricted to relatively clear skies by retaining profiles only when the
119 AIRS total effective cloud fraction (ECF) < 0.1 (KT09). Important AIRS sampling
120 effects occur for larger values of ECF (Fetzer et al. 2006), in particular the lack of
121 sampling of temperature as well as high values of water vapor within thick clouds, which
122 may affect the interpretation of cloudy spectra. Therefore, the emphasis of this work is on
123 atmospheric conditions best sampled by AIRS observations.

124 As the resolution of the AIRS operational retrieval precludes the robust calculation
125 of variance < 150 km in scale, we also calculate T and q spectra observed at 1 s time
126 sampling during the VOCALS-Rex campaign (Wood et al. 2010) obtained from
127 meteorological instrumentation on the C-130 aircraft. To eliminate the confusion between
128 horizontal geophysical variability with altitude (z_{C-130}) ascents and descents through
129 strong vertical gradients, spectra of T and q are restricted to horizontally level flight
130 segments. Raw meteorological data from the C-130 were manually inspected for spurious
131 data and inversion base crossings and these were eliminated from further consideration.
132 Composite spectra are formed from calculating variance on flight segments of 4, 8, 16,
133 32, 64, 128, 256, 512, 1024, 2048, 4096, and 8192 s in time. With a nominal flight speed
134 of 100 ms^{-1} , this translates to distances of 0.4, 0.8, 1.6, 3.2, 6.4, 12.8, 25.6, 51.2, 102.4,
135 204.8, 409.6, and 819.2 km, respectively. The level flight tracks were composited into
136 five height categories of z_{C-130} to reduce noise and geophysical variability: < 0.3 , $0.3\text{--}0.7$,
137 $0.7\text{--}1.5$, $1.5\text{--}3.0$, and > 3.0 km. We obtain spectral exponents from composite spectra that
138 are calculated from averaging flight segments with length scales in between 102.4–204.8,
139 204.8–409.6, and 409.6–819.2 km that have at least five occurrences during VOCALS-
140 REx. There are very few flights with longer or shorter segments and, because of a small

141 sample size, these are less representative of the true geophysical variability of the
142 atmosphere and thus are not included. To illustrate the tendency for a change in the
143 scaling characteristics around the scales of the cloud features in the VOCALS-REx
144 region (e.g., Wood and Hartmann, 2006; Wood and Field, 2011), two spectral exponents
145 were separately obtained for scales less than and greater than 10 km.

146 Two free-running models are investigated. First, a modified version of the
147 Geophysical Fluid Dynamics Laboratory (GFDL) Atmospheric Model version 2.1
148 (AM2.1) with high spatial resolution is used following Zhao et al. (2009) (see Table 1 for
149 model details). The model is referred to as C180HIRAM2.1 and has a cubed sphere
150 dynamical core, with 180×180 grid points on each face of the cube, resulting in grid sizes
151 ranging from 43.5–61.6 km. In addition to changes in the dynamical core and spatial
152 resolution, the model also differs from GFDL’s AM2 model (GAMDT 2004) in its
153 convection and cloud scheme (Zhao et al. 2009). Second, a modified version of the
154 National Center for Atmospheric Research (NCAR) Community Atmosphere Model
155 version 3 (CAM3) with high-resolution gridding ($\sim 0.31^\circ \times 0.23^\circ$) is used (Gettelman et al.
156 2010). The newest version of the CAM3 includes a 2-moment microphysics scheme, an
157 updated rapid radiative transfer package, and improvements to the mixed and ice phases
158 of clouds are obtained by including ice supersaturation and ice cloud-aerosol interactions
159 at the point of ice nucleation. The scaling exponents of T and q are calculated as in KT09,
160 except the boxes are $12^\circ \times 15^\circ$ in the case of C180HIRAM2.1. A value of total cloud
161 fraction (CF) < 0.5 at TOA is used to screen for “clear-ish” skies, and little sensitivity of
162 this value is reflected in the scaling exponents. The ECF from AIRS and CF from the
163 model output are not equivalent since ECF is a convolution of cloud emissivity and

164 coverage, while $CF > 0$ simply indicates the presence of cloud. The relative frequency of
165 occurrences of $ECF < 0.1$ and $CF < 0.5$ are similar in magnitude and sample relatively
166 similar spatial regimes. Results from a free-running C180HIRAM2.1 simulation during 1
167 Sept 1995 – 30 Nov 1995 for 00 UTC snapshots, and a free-running CAM3 simulation
168 from 1 June 2005 – 31 August 2005 for 00 UTC snapshots, are presented in Section 3.

169 Scaling exponents from two model analyses are also investigated. The first is the
170 European Center for Medium range Weather Forecasting (ECMWF) (Jung et al., 2010)
171 00 UTC analysis fields provided on a $0.5^\circ \times 0.5^\circ$ grid as a part of the Year of Tropical
172 Convection project. The model cycle is CY35R2 with a resolution of T799 (25 km) over
173 91 vertical levels. Several sources of data relevant to T and q are assimilated, which
174 include Global Positioning System (GPS) occultations, radiosonde profiles, AIRS and
175 Infrared Atmospheric Sounding Interferometer (IASI) radiances, as well as AMSU A/B
176 and Special Sensor Microwave Imager (SSM/I) microwave radiances. The second model
177 analysis is the Modern Era Retrospective Analysis for Research and Applications
178 (MERRA) (<http://gmao.gsfc.nasa.gov/merra/>) fields at $1.25^\circ \times 1.25^\circ$ resolution. Note the
179 native resolution of MERRA (version 5.2.0 of GEOS-5 DAS) is $0.5^\circ \times 0.625^\circ$, similar to
180 C180HIRAM2.1. GEOS-5 also assimilates many of the same data as ECMWF including
181 radiosondes, AIRS, AMSU A/B, and SSM/I (Rienecker et al., 2008). As in the case of the
182 C180HIRAM2.1 and CAM3 models, the T and q fields are restricted to $CF < 0.5$. Grid
183 box sizes of $12^\circ \times 12^\circ$ ($15^\circ \times 15^\circ$) were used for ECMWF (MERRA) and results are
184 presented below for 1 June 2009 – 31 August 2009 for both models.

185 Lastly, variance spectra of total column water vapor (CWV) and height-resolved q
186 from the superparameterized CAM3 (SP-CAM) (Marchand et al. 2009) are compared to

187 CWV and q spectra obtained from AIRS and VOCALS-REx. Each GCM grid column of
188 the SP-CAM is 2.5° in longitude \times 2.0° in latitude and contains an embedded 2D cloud-
189 resolving model (CRM) oriented in a W-E direction, with cyclic lateral boundary
190 conditions within each GCM grid box. Each CRM contains 64 columns of 4 km
191 horizontal grid size, and the variance is calculated at 8, 16, 32, 64, 128, 243, 487, 731,
192 975, and 1218 km once per day at 1200 UTC. The variance at scales less than 256 km is
193 calculated from the 2D CRM fields only. Results are presented for two specific regions
194 during 01 Sept – 30 Nov 1998, 1999, 2000, and 2001.

195

196 **3. Results**

197

198 *3.1 Regional vertical profiles*

199

200 Figure 1 illustrates model and AIRS variance spectra for T in three different cloud
201 regimes: stratocumulus in the SE Pacific (SC), trade cumulus near Hawaii (TC), and a
202 convectively active region in the tropical Western Pacific (DC). The magnitude of the
203 AIRS T variance depends on altitude, length scale and region (KT09). The change in the
204 slope of the variance (i.e., “scale break”) at 500 hPa in SC is discernible around 400–600
205 km but is significantly weaker in TC and essentially non-existent in DC. A much weaker
206 scale break is observed in the boundary layer and near the tropopause (KT09). Since the
207 slope in the T variance is weaker at 925 hPa in SC, the relative magnitude compared to
208 other pressure levels is significantly larger in DC showing large low-level T variability.
209 There is a general tendency for the model spectral slopes to be uniformly steeper relative

210 to AIRS. This translates to T variance that is similar to or somewhat less than AIRS in
211 the 600–1300 km length scales, and several factors less than AIRS within the 150–400
212 km scales, depending on the region, level and model. This is consistent with limitations
213 of coarsely gridded global models in generating small-scale variability in the Tropics
214 (e.g., Willett et al., 2008). The models tend to have the highest variance in the lowest
215 levels (e.g., 925 and 850 hPa), but this behavior holds for AIRS only within DC and at
216 the smallest length scales in other regimes. For SC, the NCAR, C180HIRAM2.1 and
217 MERRA fields show the highest variance at 500 hPa, while ECMWF shows much greater
218 variance at 850 and 925 hPa. However, in most instances, the relative “ordering” of
219 variance by pressure level is consistent between AIRS and the various models.

220 [Figure 1 here]

221 In the case of q (Fig. 2), there is a notable absence of a significant scale break in
222 the three regions in the AIRS data (e.g., KT09). The variance is highest for 925 and 850
223 hPa in SC and TC, but not in DC. In fact, the spectral slope of q at 925 hPa in DC is
224 much less than that at 850 and 500 hPa. Figure 2 shows the absence of a significant scale
225 break in all models, while the model exponents are uniformly steeper than AIRS. The
226 variance magnitudes are more similar between the models and AIRS in the case of q than
227 T , although this is less pronounced in the DC region especially for the free-running
228 models that have much too little variance at small scales. The inter-model spread of the
229 variance in the free troposphere (e.g., 500 hPa) is much higher than at 850 and 925 hPa,
230 suggesting bigger discrepancies in the variability of water vapor in the middle
231 troposphere between the models and AIRS observations (c.f., Pierce et al., 2006). In all
232 three regions, the models have a slightly higher magnitude of q variance at 850 hPa

233 compared to 925 hPa presumably from variations in the PBL height that lead to more
234 variations in dry and moist occurrences at a given pressure level. However, this behavior
235 is only observed in DC with AIRS.

236 [Figure 2 here]

237

238 3.2 Zonal averages

239

240 Figure 3 shows the zonal averaged T and q exponents for both the large (600–
241 1200 km) and small (150–400 km) scales. All of the models capture some aspects of the
242 zonal structure in T observed by AIRS at the large scales including the decrease in the
243 deep Tropics for T (Nastrom and Gage, 1985; Frehlich and Sharman, 2010). However,
244 the model scaling in the Tropics is uniformly too large, although ECMWF and MERRA
245 have sharper horizontal gradients than CAM3 and C180HIRAM2.1. This is consistent
246 with the inability of convective parameterizations in global models to generate enough
247 small-scale variance from 100–500 km. A scale break in T is observed between the large
248 and small scales, but the small-scale exponents are 0.1–0.3 larger than AIRS. Both
249 ECMWF and MERRA are closer in magnitude to AIRS than CAM3 and
250 C180HIRAM2.1 for large-scale T , but still somewhat higher than AIRS. There is a hint
251 of a decrease in the scaling near the tropopause (Dotzek and Gierens, 2008) in the large T
252 exponents in C180HIRAM2.1, ECMWF and MERRA. However, the CAM3 model has a
253 rather constant increase in the scaling with distance from the Equator and with altitude in
254 large T , while C180HIRAM2.1 has a small peak near 30°N and S, which is even more
255 prominent in ECMWF and MERRA fields. For q scaling, all models show a weak to

256 nonexistent scale break that is consistent with AIRS (KT09) and other limited aircraft
257 observations (Nastrom et al. 1986; Cho et al. 2000). However, the model scaling is still
258 too steep relative to AIRS outside of the tropical troposphere. Furthermore, steeper model
259 exponents are seen near the tropopause and near-surface layers in comparison to AIRS.

260 [Figure 3 here]

261

262 3.3 *SP-CAM and AIRS*

263

264 In Fig. 4, comparisons between SP-CAM and AIRS CWV are shown for three
265 similar regions discussed in Figs. 1 and 2. It is abundantly clear that the scaling in AIRS
266 CWV does not behave like the height-resolved q shown in Figs. 1–3. In fact, CWV has a
267 steeper slope at scales > 250 km in TC and SC compared to DC, much like the scaling of
268 T . Thus, CWV is not an appropriate analogue for layer-resolved q scaling exponents.
269 This emphasizes the importance of making vertically resolved q observations, and sharp
270 differences in boundary layer (0.3) and free troposphere (0.5) exponents obtained from
271 meteorological tower observations support this view (Pressel et al. 2010). The SP-CAM
272 and AIRS have significant differences in both the magnitude of the variances and spectral
273 slopes at scales larger than 100 km, with variations depending on the region, while the
274 SP-CAM is consistently close to 0.3 at smaller scales in all three regions. There is a scale
275 break ~ 100 km simulated in SP-CAM that is not testable with the current operational
276 AIRS retrieval because of resolution limitations (although future advancements in single
277 AIRS field-of-view retrievals will improve this by a factor of 3). Furthermore, the scaling
278 exponents are more variable between the different years at the larger scales in SP-CAM

279 compared to the smaller scales, highlighting the importance of monitoring these
280 variations with continuous, multi-year observations of inter-annual variability now
281 available from AIRS.

282 [Figure 4 here]

283 Height-resolved q spectra from SP-CAM for the 929, 867, and 600 hPa levels are
284 shown in Fig. 5. The AIRS q spectra for 925, 850, and 500 hPa from Fig. 2 are also
285 shown in Fig. 5 for comparison. The slope of the SP-CAM is much too shallow at all
286 scales, as the slope is essentially flat for all three regions and pressure levels at scales <
287 250 km, implying scale invariance which is much higher than extrapolation of variance
288 from larger scales. Previous work suggests that the large discrepancy in q variance at
289 small scales may be related to geometrical effects (2D vs. 3D modeling). Moeng et al.
290 (2004) found that 2D simulations of vertical moisture fluxes $\langle w'q' \rangle$ are represented fairly
291 well in comparison to 3D models. Assuming this is also true in the SP-CAM (recall that
292 SP-CAM is a 3D global model with an embedded 2D CRM), vertical moisture fluxes are
293 proportional to correlations between vertical velocity $\langle w'w' \rangle$ and moisture $\langle q'q' \rangle$
294 variances, thus excessive $\langle q'q' \rangle$ can be compensated by either weaker $\langle w'w' \rangle$ or weaker
295 correlations between $\langle w'w' \rangle$ and $\langle q'q' \rangle$. Modeling comparisons between 2D and 3D
296 CRMs, and a rigorous evaluation of the model variances and fluxes warrants further
297 investigation.

298 [Figure 5 here]

299

300 3.4 *VOCALS-REx*

301

302 In Fig. 6, height-resolved composite spectra of T and q obtained from VOCALS-
303 REx from 30–200 km have spectral slopes similar to AIRS (Fig. 2); however, the slopes
304 are significantly steeper at scales < 10 – 20 km. The steepening at small scales is
305 reminiscent to slopes steeper than $-5/3$ observed in boundary layer observations of q
306 reported by Schmitt et al. (1979) and Zhang (2010) and liquid water content (Davis et al.,
307 1996). Furthermore, spectra of T and q obtained from surface buoy data during the East
308 Pacific Investigation of Climate (EPIC) experiment show mesoscale breaks around 20–30
309 km, although the spectral slopes are less steep than shown in Fig. 6 and are around 0.3–
310 0.5 (Comstock et al., 2005). This is not unexpected as these observations are obtained
311 from a buoy near the surface of the ocean where the scaling is expected to be weaker. All
312 spectra at scales < 10 – 20 km have increased spectral slopes and are at a minimum for $z <$
313 300 m (~ 0.4) and a maximum for $z > 1000$ m. The exponents for q are steeper than T in
314 the free troposphere. The T spectra have a scale break ~ 20 km as with q but is somewhat
315 less pronounced. Since there are a limited number of flight segments at a constant
316 altitude, these composite spectra may not represent the full geophysical variability in this
317 region. However, the distinct change in spectral slopes above and below the inversion
318 base is consistent with AIRS (KT09) and surface-based tower (Pressel et al., 2010)
319 observations. This points to a need for more aircraft observations of thermodynamic
320 variability in the cloudy boundary layer, and data obtained from past aircraft campaigns
321 should be revisited to examine scale-dependent features in T and q .

322 [Figure 6 here]

323

324 4. Discussion and Conclusions

325

326 Excessively large fine-scale variability simulated in SP-CAM and observed scale
327 breaks in aircraft data (VOCALS-REx) are located at spatial scales unresolved by current
328 sounders such as AIRS and the Infrared Atmospheric Sounding Interferometer (IASI), or
329 future sounders such as the Cross-Track Infrared Microwave Sounder Suite (CrIMSS),
330 with nominal horizontal resolutions on the order of 50 km. These scale breaks imply that
331 extending variance to smaller scales using exponents obtained from scales resolved by
332 these coarser-resolution sounders may not always be appropriate as suggested by KT09.
333 Observing systems with sufficient spatial resolution (roughly 1–10 km horizontally) that
334 are able to resolve dominant scales of cloud structures (Wood and Field, 2011) are
335 needed to quantify the character of the variability in different cloud regimes for all
336 relevant geophysical variables (Stevens and Feingold, 2009), not only T and q . The
337 problem of extending variance to small scales is highly relevant to promising subgrid-
338 scale cloud parameterization approaches that have not been fully exploited to date (e.g.,
339 Cusack et al., 1999; Tompkins, 2002; Kuwano-Yoshida et al., 2010). Small-scale
340 observations are also important for evaluating the new generation of multiscale modeling
341 framework models (e.g., SP-CAM), global CRMs (e.g., Hamilton et al. 2008), and large
342 eddy simulation (LES) models (e.g., Siebesma et al., 2003).

343 The scaling exponents are found to be steeper in both the free-running models
344 (CAM3 and C180HIRAM2.1) and analyses (ECMWF and MERRA) in comparison to
345 AIRS, suggesting that the small-scale variance in these models is too small. It is not
346 known whether this behavior is driven (in part, or in whole) by differences in
347 parameterizations (e.g., Hamilton et al., 2008), data assimilation (satellite, radiosonde,

348 and surface observations), or other reasons, and warrants further investigation. However,
349 it appears that the free-running models used in climate assessments are not as complete in
350 resolving the scale dependence of T and q variance than models with 3-D or 4-DVAR
351 systems (e.g., ECMWF and MERRA). This is qualitatively consistent with slightly
352 poorer performance of climate models that are executed in ‘weather forecast mode’ when
353 compared to NWP models (Phillips et al., 2004), however, small-scale variability may
354 not be the main factor in these differences of forecast skill. More research is necessary to
355 determine the potential implications for climate sensitivity and cloud feedback sign and
356 magnitude, which include tests of ECMWF and MERRA without realistic initialization.
357 Additional studies such as Cusack et al. (1999) should be undertaken that use constraints
358 on subgrid-scale variability obtained from observations. As the SP-CAM results indicate,
359 having embedded CRMs that serve as subgrid-scale parameterizations does not
360 necessarily ensure realistic q spectra. Observational constraints from satellite and aircraft
361 observations will play an important role in parameterization efforts.

362 This work shows that higher horizontal spatial resolution observations of T and q
363 over the entire globe are necessary to observe the global characteristics of “turbulence” in
364 thermodynamic profiles. At the same time, current and future operational and research
365 atmospheric sounders will continue to play a role in assessing climate processes,
366 establishing quantitative benchmarks for model comparisons, facilitate the development
367 of more rigorous observationally-based subgrid-scale parameterizations, and possibly
368 offer a long-term strategy to monitor the mesoscale spectrum of T and q on a global basis.
369 As shown by Hamilton et al. (2008), the observed KE spectrum serves as a useful
370 diagnostic for climate model assessments. We suggest that height- and regime-resolved

371 spectra of T and q should also be included in model diagnostics as they can be highly
372 variable in space and time. Additional A-train data sets can serve as benchmarks for other
373 model-relevant variables such as cloud water content and precipitation, and their
374 simultaneous observation with T and q can be combined into multi-sensor observational
375 estimates of moist conserved thermodynamic variables that directly “interface” with
376 model parameters.

377

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394

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588 Table 1. Summary of weather and climate models: spatial resolution/grid configuration,

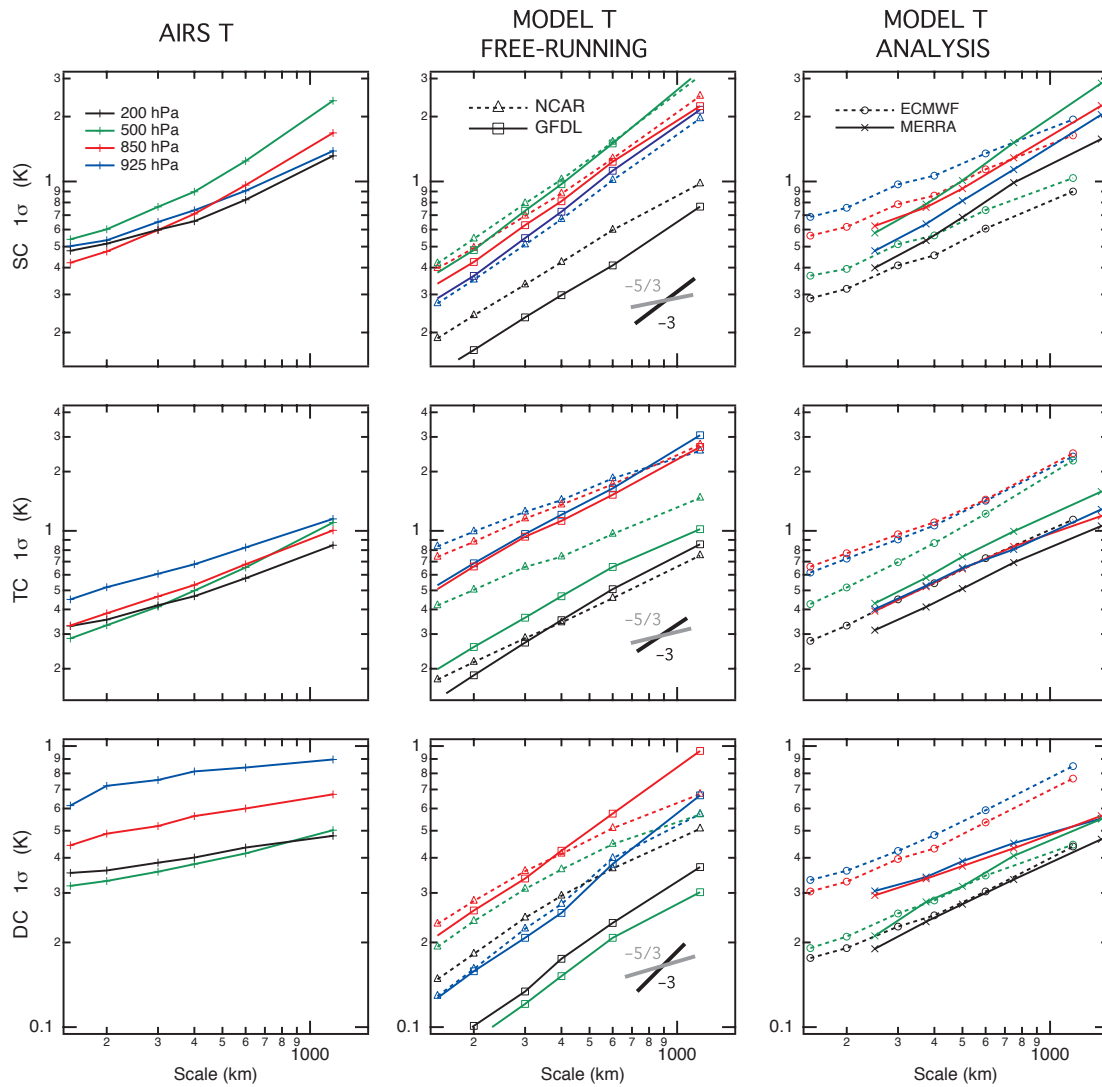
589 data assimilation constraints, and the simulation period.

590

Model	Horizontal Resolution	Output Fields	Data assimilation?	Simulation Period
GFDL C180HIRAM2.1	$0.5^{\circ} \times 0.625^{\circ}$	Same	NO	01 Sept. 1995 – 30 Nov. 1995
NCAR CAM3	$0.31^{\circ} \times 0.23^{\circ}$	Same	NO	01 June 2005 – 31 Aug. 2005
ECMWF (CY35R2)	$0.25^{\circ} \times 0.25^{\circ}$	$0.5^{\circ} \times 0.5^{\circ}$	YES	01 June 2009 – 31 Aug. 2009
MERRA	$0.5^{\circ} \times 0.625^{\circ}$	$1.25^{\circ} \times 1.25^{\circ}$	YES	01 June 2009 – 31 Aug. 2009
SP-CAM	$2.5^{\circ} \times 2.0^{\circ}$ Embedded 2D CRM with 64 columns of 4 km resolution	Same	NO	01 Sept. – 30 Nov. for the years 1998–2001

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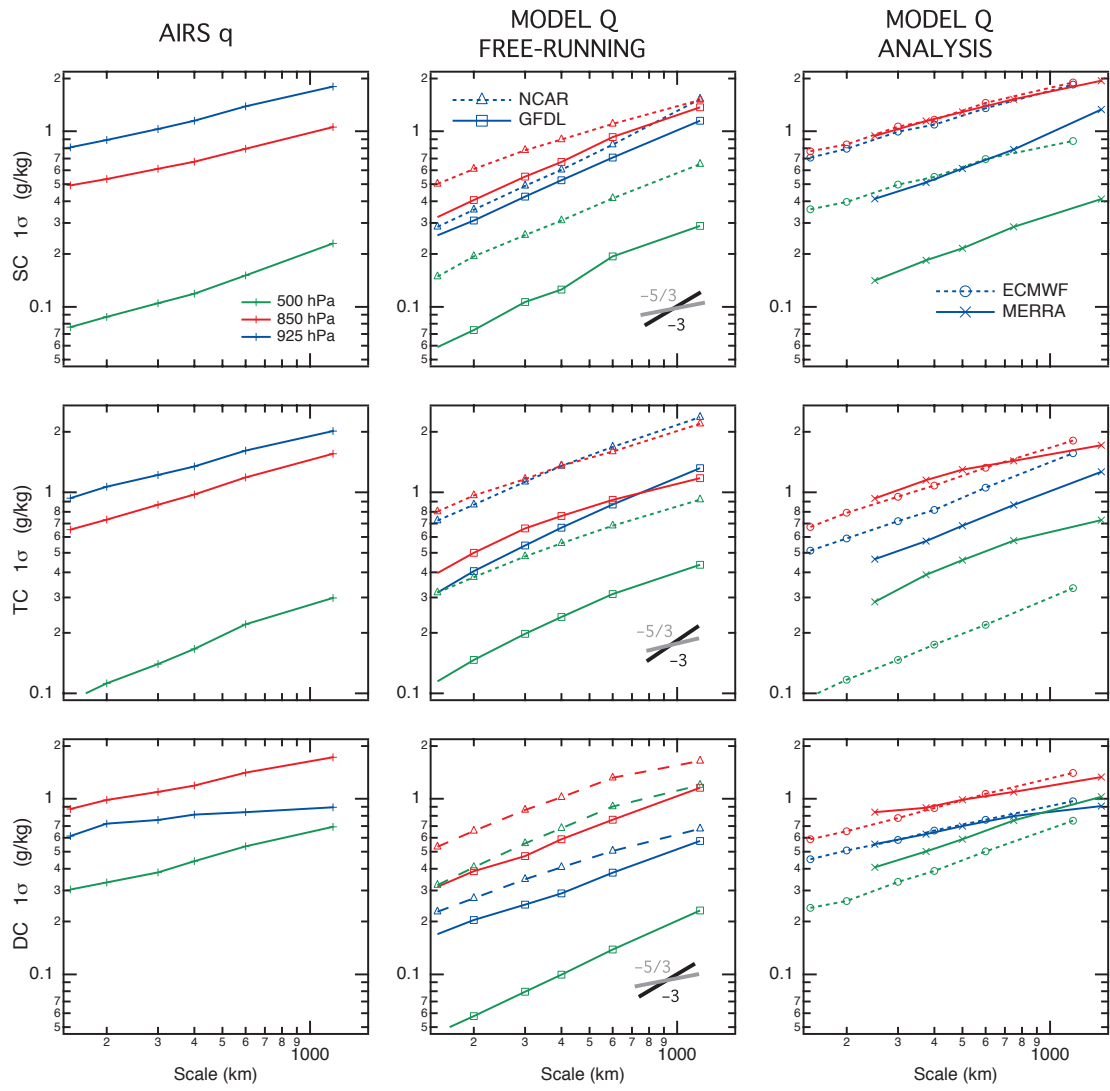
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595 Figure 1. Variance spectra of T for three spatial regions: SE Pacific stratocumulus region596 [24°S , 90°W (97.5°W)], trade cumulus near Hawaii [24°N , 150°W (157.5°W)], and597 convective region in tropical Western Pacific [0° , 150°E (157.5°E)]. AIRS, ECMWF and598 CAM3 (C180HIRAM2.1) model results contained within a $12^{\circ}\times 12^{\circ}$ ($12^{\circ}\times 15^{\circ}$) box

599 centered at first (second) longitudinal value.

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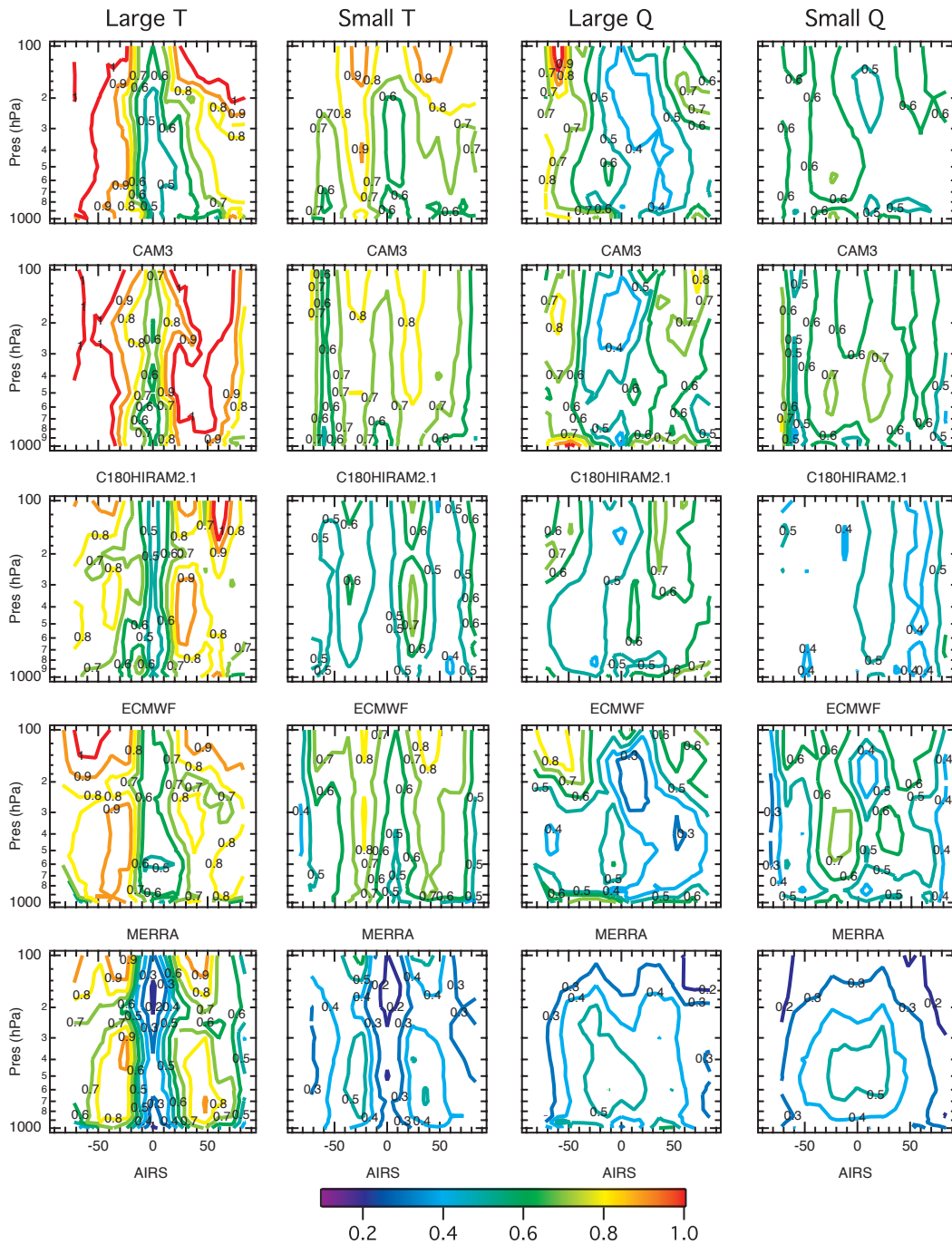


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602 Figure 2. All panels identical to Fig. 1 but for the quantity q (g/kg).

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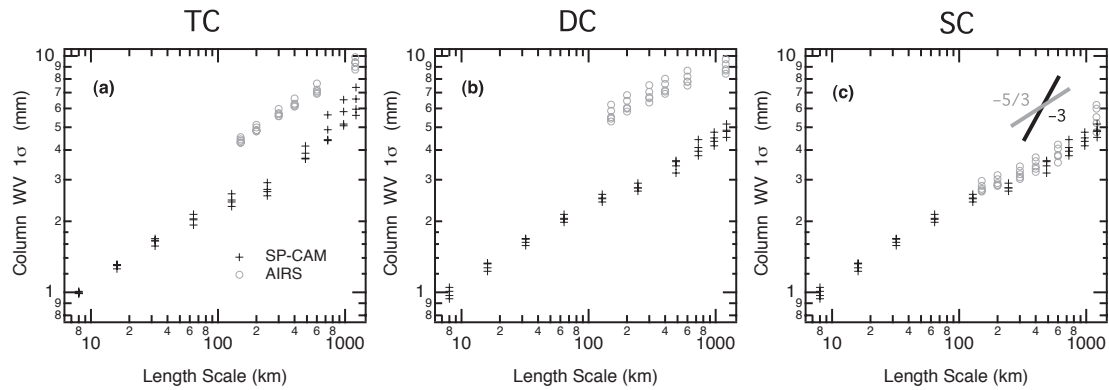


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607 Figure 3. Zonal averaged scaling exponents for AIRS, MERRA, ECMWF,
608 C180HIRAM2.1 and CAM3 T (left two columns) and q (right two columns) for “clear
609 sky” (see text for a description). The large (small) exponents are obtained from fitting

610 power law exponents restricted to 600–1200 km (150–400 km) following the
611 methodology of *KT09*, except for MERRA, which are obtained from 250–500 km (750–
612 1500 km).
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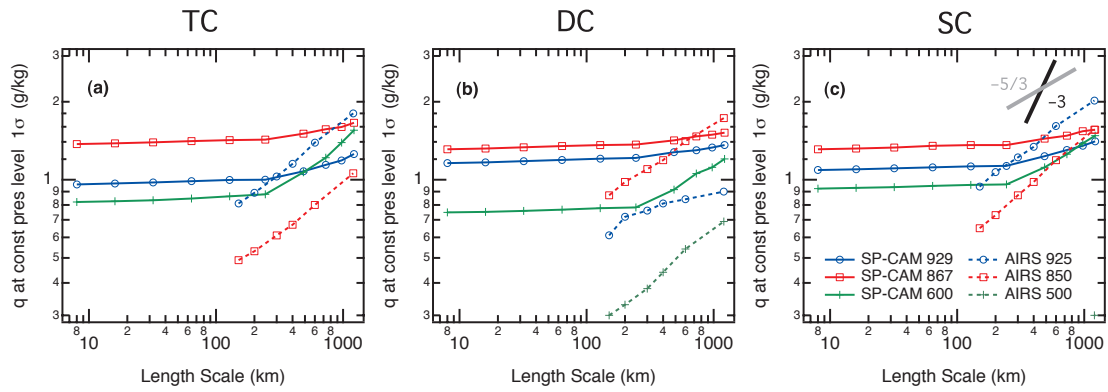
615

616 Figure 4. Shown are CWV spectra of SP-CAM (AIRS) for three regions: (a) 157.5°–
617 170°W and 10°–20°N (156°–168°W and 6°–18°N), (b) 157.5°–170°E and 4°S–6°N
618 (150°–162.5°E and 6°S–6°N), and (c) 77.5°–90°W and 10°–20°S (84°–96°W and 6°–
619 18°S) (right). All spectra are from SON at 12Z during 1998–2001 (SP-CAM) and 0Z
620 during 2004–2008 (AIRS). The scaling exponents for $-5/3$ (0.33) and -3 (1.0) are shown
621 in gray (black).

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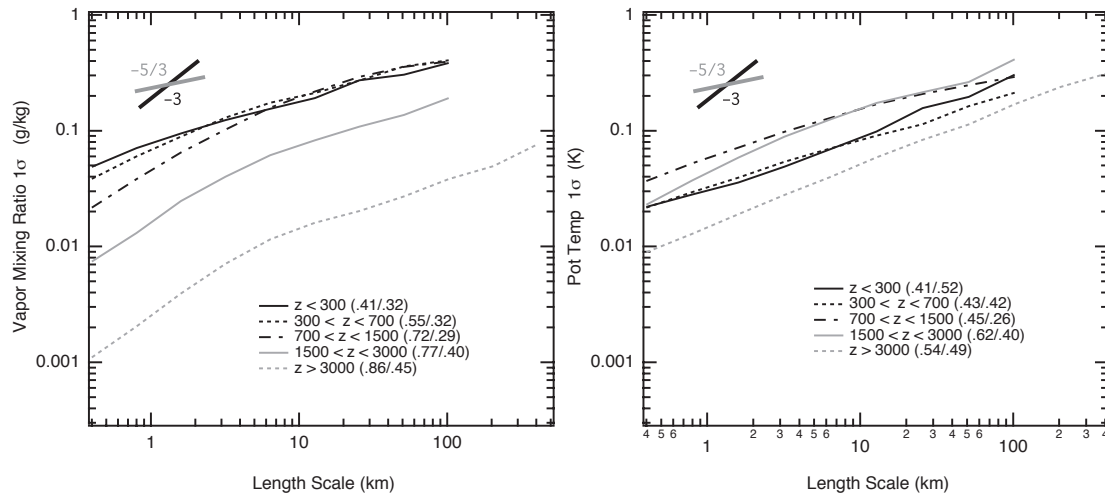
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625 Figure 5. Similar to Fig. 4 except 1σ values of q shown at constant pressure levels in SP-

626 CAM and AIRS.

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630 Figure 6. Shown are composite variance spectra for q (left) and θ (right) in five altitude
 631 bins during VOCALS-REX for flight segments with at least five segments averaged (see
 632 text). The numbers in the parentheses are scaling exponents for spectra < 10 km on the
 633 left (> 10 km on the right).

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635