

1 **Smoke and Clouds above the Southeast Atlantic: Upcoming Field**

2 **Campaigns Probe Absorbing Aerosol's Impact on Climate**

3 Paquita Zuidema*

4 *University of Miami, Miami, Florida*

5 Jens Redemann

6 *NASA AMES Research Center, Mountain View, California*

7 James Haywood

8 *University of Exeter, Exeter, United Kingdom*

9 Robert Wood

10 *University of Washington, Seattle, Washington*

11 Stuart Piketh

12 *North-West University, Potchefstroom, South Africa*

13 Martin Hipondoka

14 *University of Namibia, Windhoek, Namibia*

15 Paola Formenti

16 *Laboratoire Interuniversitaire des Systemes Atmospheriques, Creteil, France*

¹⁷ **Corresponding author address:* Rosenstiel School of Marine and Atmospheric Science, University
¹⁸ of Miami, Miami, FL, 33149
¹⁹ E-mail: pzuidema@rsmas.miami.edu

ABSTRACT

20

21 From July through October, smoke from biomass burning fires on the southern African sub-
22 continent are transported westward through the free troposphere over one of the largest stratocu-
23 mulus cloud decks on our planet (Fig. 1). Biomass burning aerosol (smoke) absorbs shortwave
24 radiation efficiently. This fundamental property implicates smoke within myriad small-scale pro-
25 cesses with potential large-scale impacts on climate that are not yet well-understood. A coordi-
26 nated, international team of scientists from the United States, United Kingdom, France, South
27 Africa and Namibia will provide an unprecedented interrogation of this smoke-and-cloud regime
28 from 2016 to 2018, using multiple aircraft and surface-based instrumentation suites to span much
29 of the breadth of the southeast Atlantic.

30 The scientific motivations are many. Smoke warms the atmosphere, in contrast to the climate
31 cooling provided by the reflected sunlight from the extensive low clouds residing mostly below
32 the smoke layer. Yet, the low clouds also respond to the presence of the smoke, in counter-
33 intuitive ways that can either strengthen or weaken the low cloud deck. Smoke can stabilize
34 the atmospheric temperature profile, by warming the free troposphere, and cooling the surface
35 below. The stabilization strengthens the low cloud deck, so that the net smoke+cloud effect is an
36 enhanced cooling. This effect is thought to dominate the low cloud response, because space-based
37 lidar informs us that much of the BB aerosol resides above the cloud deck (Fig. 1). In contrast, if
38 the smoke mixes directly into the cloud layer, warming provided by the smoke could reduce the
39 relative humidity and help dissipate the cloud. Changes in the amount of aerosol nucleating the
40 clouds also alters the cloud microphysics and the cloud's likelihood of rain. Other effects exist,
41 for example, from the moisture associated with the aerosol layer, while further effects may yet still
42 remain to be discovered. At a larger scale, the change in atmospheric warming from the smoke
43 affects the neighboring precipitation distribution. The smoke's influence on the surface energy

44 budget ultimately affects the equatorial climate and its variability through the trade winds, and
45 changes the energy distribution between the northern and southern hemisphere.

46 The complexities of the southeast Atlantic climate are not currently well captured by mod-
47 els (Fig. 2). The aerosol spatial and vertical distribution must be modeled well, along with the
48 aerosols' capacity to absorb shortwave radiation - the single-scattering albedo. Equally important
49 to capturing the aerosol's direct radiative effect is the ability to accurately represent the underlying
50 low cloud deck. Smoke overlying a bright cloud will darken the scene when viewed from space,
51 whereas smoke overlying a dark ocean will brighten the scene. Thus, the ability to represent the
52 low cloud albedo, and in turn the distribution of cloud properties, with and without smoke present,
53 is critical to modeling the regional and by extension global climate. Climate change projections
54 for Africa indicate strong future warming and changing precipitation patterns; increases in the
55 variability of the rainfall has strong implications for agriculture in the arid regions.

56 Basic aspects of the meteorology such as the trade winds and free-tropospheric easterlies reveal
57 a strong coupling between the atmosphere, ocean, and land neighboring the southeast Atlantic.
58 For example, the deep land-based anticyclone over southern African encourages the recirculation
59 of offshore smoke back to the continent, at times from long distances. Many open questions
60 remain, and much of what is hypothesized about this regime comes from satellite studies, surface-
61 based sun photometers at a few widely-separated locations, and modeling simulations. Satellite
62 studies indicate clouds are thicker, and the cloud deck is larger, when smoke is present overhead,
63 consistent with a response to a more stable atmosphere, but the meteorology encouraging the
64 smoke outflows may also be advecting warmer air above the cloud top. The cloud response is
65 highly sensitive to details of the aerosol-cloud vertical structure, but even our most sophisticated
66 satellite tool, a space-based lidar, has difficulty determining whether the typically-diffuse bottom
67 of a smoke layer is touching the cloud top.

68 Clues about the aerosol absorption have primarily come from the surface-based sun photome-
69 ters that comprise the international Aerosol Robotic Network (AERONET). Such measurements
70 suggest that the biomass-burning aerosols become less absorbing as the burning season evolves,
71 perhaps because the type of fire fuel and combustion conditions change. A well-maintained sun
72 photometer has been present on Ascension Island (14.5° W, 8°S) since 2000, but nevertheless
73 single-scattering albedo data remain scarce because of strict retrieval criteria (Fig. ??). The little
74 available data are consistent with a seasonal evolution documented for fire sources on land: smoke
75 particles that absorb less sunlight as the biomass-burning season evolves.

76 The data in Fig. ?? are intriguing, but too sparse to be much more than anecdotal, and ignore
77 other factors, such as the possible presence of aerosols from South America. Existing sparse
78 datasets highlight the need for in-situ data of important climate variables. This is now poised to
79 occur. The aircraft campaigns and surface-based instrumentation suites currently committed are
80 shown in Fig. ?. These will also serve to improve satellite retrievals, and initialize and test model
81 simulations at all scales.

82 The campaigns possess unique foci, detailed below.

- 83 • The NASA Earth Venture Suborbital-2 ORACLES (ObseRvations of Aerosols above Clouds
84 and their interactions; <http://espo.nasa.gov/oracles>) campaign will sample a different month
85 (August to October) from each of 2016, 2017 and 2018, using a P-3 airplane. The high-
86 altitude ER-2 plane will additionally participate in 2016. The multiple-year deployments
87 allow ORACLES to characterize the seasonal evolution in the single-scattering albedo and
88 loading of the offshore BB aerosol, and in aerosol-cloud interactions. Its multi-aircraft de-
89 ployment in 2016 allows for stacked aircraft flight patterns that optimize careful remote sens-
90 ing retrieval development and produce datasets for supporting future satellite instrument con-

stellations and designs. Airborne lidar and radar capture the aerosol-cloud vertical structure. One-half of the campaign is devoted to facilitating model comparisons through survey flights occurring along regular latitude-longitude lines. Remaining flights target specific assessments of the direct radiative effect from BB aerosol, and changes in atmospheric stability, circulation and cloud properties from the absorption of solar radiation by smoke. While the 2016 deployment will be based in Walvis Bay, Namibia, efforts will be made to survey the larger Atlantic basin, potentially using auxiliary bases or overnight stops on equatorial Sao Tome (6.5° E), Ascension Island, and even St. Helena Island (15°S, 5°W) throughout the three years. Another separate NASA initiative will add more sun photometers and a new micro pulse lidar to sites in southern Africa and St. Helena.

- The UK CLARIFY (CLOUDS and Aerosol Radiative Impacts and Forcing: Year 2016) campaign plan to bring the UK FAAM BAe-146 plane to Namibia in August-September 2016, overlapping with ORACLES-2016. In conjunction with the UK Met Office, CLARIFY is also planning to instrument St. Helena island with additional radiosondes, a Doppler lidar, a passive microwave radiometer, optical particle counter. This suite would then be joined by the U of Miami 94 GHz Doppler cloud radar through a DOE-NOAA-UM collaboration. CLARIFY's goal is to improve the representation and reduce uncertainty in UK Meteorological Office model estimates of the direct, semi-direct and indirect radiative effects.
- The DOE LASIC (Layered Atlantic Smoke Interactions with Clouds; <http://www.arm.gov/campaigns/amf2016lastic>) campaign deploys the ARM Mobile Facility 1 (AMF1) to Ascension Island from June 1, 2016 - October 31, 2017. Ascension Island is located 2000 km offshore of continental Africa in the trade-wind cumulus regime over near-equatorial warm waters (Fig. ??). Its deepening boundary layer, combined with

114 the subsiding aerosol layer aloft, increases the chances that smoke will be entrained into the
115 cloud layer. LASIC includes a large suite of both aerosol in-situ and remote sensors and
116 cloud remote sensors, including a lidar to fully profile the aerosol vertical structure of the
117 partially-cloudy skies and several cloud radars. Multiple radiosondes per day will provide
118 the first characterization of the diurnal cycle with and without smoke present overhead. The
119 diurnal cycle serves as one test for smoke-cloud interaction hypotheses, and is useful for
120 climate model assessments of low cloud representations. The 17-month time span overlaps
121 with two of the ORACLES deployments, robustly sampling the seasonal cycle in both aerosol
122 and cloud properties. The dual instrumentation of Ascension and St. Helena also allow for
123 an examination of the evolution of the boundary layer flow between the two islands from
124 stratocumulus to shallow cumulus, with and without the presence of BB aerosols overhead.

- 125 ● The French AEROCLO-sA (AErosol RadiatiOn and CLouds in southern Africa) is a long-
126 term collaboration with South Africa and Namibia taking aerosol column and *in-situ* mea-
127 surements at the Henties Bay Aerosol Observatory, approximately 100 km north of Walvis
128 Bay, since 2012. AEROCLO-sA will augment its observational capabilities during August-
129 September 2016 with sophisticated measurements of the aerosol chemical, physical, optical
130 and hygroscopic properties using a mobile surface station that includes two lidars. Dust is
131 the most dominant aerosol by mass over much of southern Africa, typically residing in the
132 boundary layer. The lidars will determine the relative vertical structure of both the dust and
133 smoke, to distinguish their radiative effects and potential interactions with clouds. Measure-
134 ments from the French F20 aircraft, equipped with a high-resolution lidar and based in Walvis
135 Bay to maximize international synergy, will improve polarimetric satellite retrievals of cloud
136 properties.

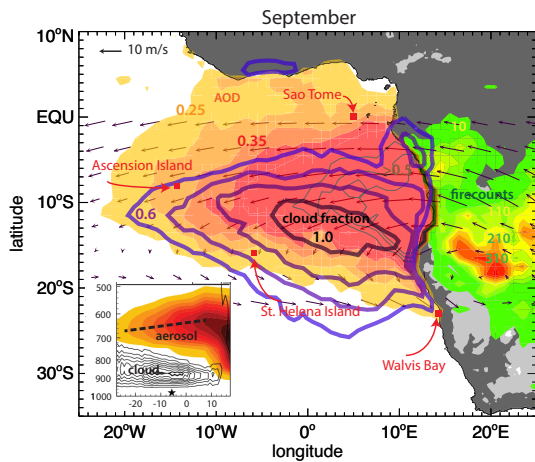
137 ● The Sea Earth Atmosphere Linkages Study in southern Africa (SEALS-sA) proposes to use
138 research vessel measurements to better understand the complex coastal land-atmosphere-
139 ocean coupling, in which strong northward along-shore winds upwell cold nutrient-rich wa-
140 ters into one of the most productive fisheries in the world. Inland, additional aerosol mea-
141 surements are planned to examine aerosol-fog interactions and land-atmosphere interactions,
142 building on a depth of expertise in unique arid land ecosystems. Six new AERONET sun pho-
143 tometer sites are currently becoming established in southern Africa, including two in Angola,
144 and two new lidars in Namibia as part of NASA's Micro-Pulse Lidar Network (MPLNET).
145 A focus on coastal fog, the dominant source of moisture for life in the arid near-coastal
146 Namib Desert, is naturally complemented by the interest of other partners on low cloud pro-
147 cesses. The international scientists can mutually benefit from each other's expertise, through
148 expanded local hands-on research involvement in the Namibian-based aircraft and surface-
149 based campaigns. These scientific exchanges will potentially extend to visits to US and
150 European institutions, including graduate studies, and lay the groundwork for long-lasting
151 scientific collaborations. Further collaborations contemplated include summer schools on
152 climate change modeling, remote sensing and instrumentation. SEALS-sA is envisioned as a
153 longer-term, interdisciplinary initiative within southern Africa continuing well beyond 2018.

154 These active observational and modeling strategies form COLOCATE: the Clarify-Oracles-
155 Lasic-aerOClo-seAls Team Experiment. International collaboration is already apparent in the
156 combined efforts of UK and US scientists to instrument St. Helena Island. A significant aspect of
157 field experiments is their ability to focus attention on specific scientific problems. Pre-deployment
158 modeling and analysis of existing satellite datasets combined with reanalysis are valuable in their
159 own right and sharpen the driving hypotheses. The representation of absorbing aerosol in climate

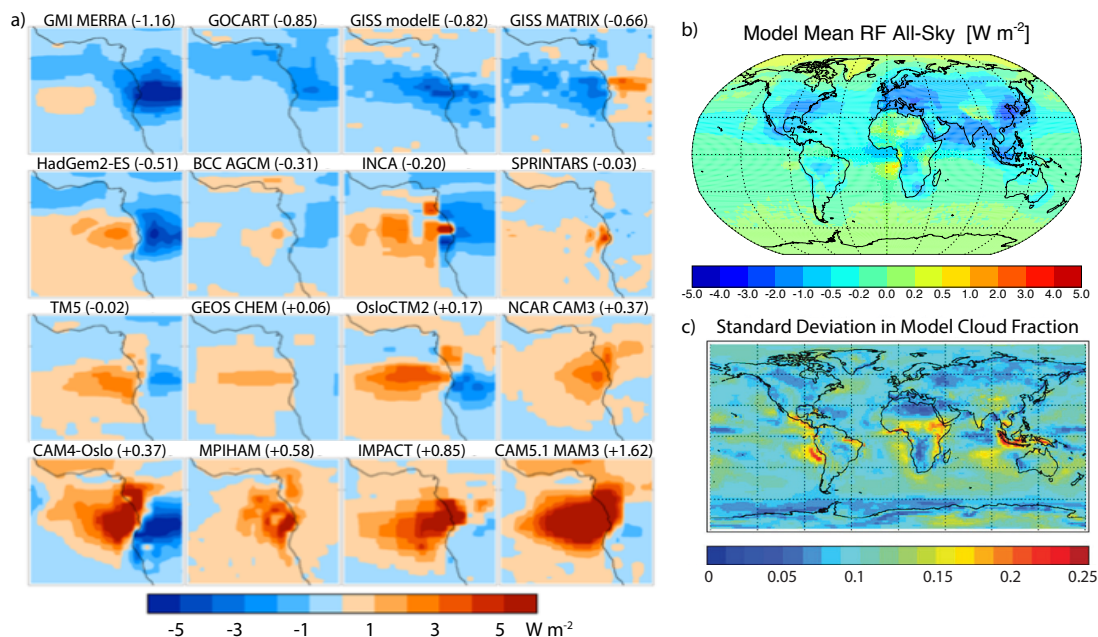
160 models was first treated explicitly in the Intergovernmental Panel on Climate Change (IPCC) 2001
161 assessment, then subsumed in the IPCC 2007 assessment with all other aerosol, but is now ex-
162 plicitly recognized again as an important constraint on climate model behavior. The local direct
163 radiative forcing over the southeast Atlantic is much stronger than the global mean. The focus
164 on southeast Atlantic reflects a larger consensus within the research community that absorbing
165 aerosol's impact on climate must be better understood. Significant progress can now be made in a
166 five-year time frame, and other related initiatives will very likely augment those already planned
167 in the near future. We encourage further initiatives for becoming involved, for example through
168 DOE's guest instrumentation program. The opportunity for complementary science over the re-
169 mote Atlantic exists until October, 2018, the date for ORACLES' last deployment, and extend
170 much longer within Namibia. The airfield at Sao Tome provides an excellent base from which to
171 access the main continental aerosol outflow plume. Additionally, St. Helena Island will acquire
172 its first-ever airfield in the spring of 2016, providing a potential new aircraft deployment base
173 strategically located in the remote stratocumulus region. We are anxious to hear from others with
174 complementary interests.

175 *Acknowledgments.* ORACLES is funded by NASA Earth Venture Suborbital-2 grant
176 NNX15AF98G. The planning for LASIC is funded through DOE grant DE-SC0013720.
177 CLARIFY-2016 is funded by the Natural Environment Research Council project, grant code
178 NE/L013797/1. AEROCLO-sA is funded by the French Agence National de la Recherche (ANR)
179 under contract ANR-15-CE01-0014, the French national programs LEFE/INSU and LEFE/PNTS,
180 and the Centre National des Etudes Spatiales (CNES). We thank Brent Holben and the AERONET
181 team for maintaining the Ascension Island station and for leadership in establishing further sites in
182 southern Africa, and Judd Welton for leadership in establishing the new Namibian MPLNET sites.

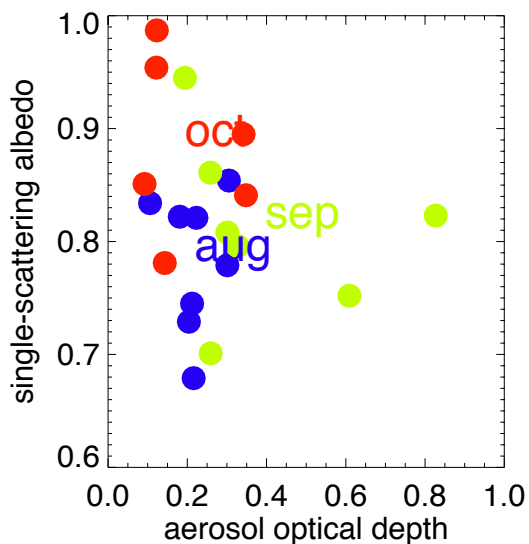
¹⁸³ We also thank Marcos Henry, Station Manager of the UK Meteorological Office at St. Helena
¹⁸⁴ Island from 1992-2015, for inclusion of his photo in Fig. 4.



186 FIG. 1. During September, 600 hPa winds escort the biomass burning aerosol (optical depth in warm colors)
 187 emanating from fires in continental Africa (green to red, 50 to 310 firecounts per 1° box) westward over the
 188 entire south Atlantic stratocumulus deck (cloud fraction in blue contours). The inset, a 4°E - 7°E latitude slice,
 189 highlights the subsiding aerosol layer and deepening cloudy boundary layer further offshore, increasing opportu-
 190 nity for direct smoke-cloud interactions. Main figure is based on MODIS 2002-2012 data and the ERA-Interim
 191 Reanalysis, inset is based on the space-based Cloud Aerosol Lidar with Orthogonal Polarization (CALIOP) and
 192 CloudSat 2006-2010 data. Henties Bay is approximately 100 km north of Walvis Bay, other main deployment
 193 sites and Sao Tome are indicated.



194 FIG. 2. Modeled August-September direct aerosol radiative forcing in a) individual AeroCom models ordered
 195 by their regional- and annual-average difference from the b) ensemble-mean indicating the regional hotspot for
 196 biomass-burning aerosol forcing over the southeast Atlantic. c) indicates the large diversity in the models' cloud
 197 fraction. The latter also helps determine if the aerosol shortwave absorption influences the climate more than
 198 the aerosol scattering. More model details can be found in Myrhe et al., 2013, Atmos. Chem. Phys.



199 FIG. 3. Single-scattering albedo versus daily-mean aerosol optical depth at 500 nm wavelength at Ascension
 200 Island, using all available daily-mean AERONET values from August (blue), September (green) and October
 201 (red) spanning 2000 through 2013. Single-scattering albedo values (Level 1.5) are only available for these 21
 202 days out of the 398 days with daily-averaged aerosol optical depths. Month names indicate the monthly-mean
 203 values. Please note that these Level 1.5 data only serve an illustrative purpose. AERONET recommends only
 204 Level 2.0 data be used for rigorous data analysis.



205 FIG. 4. Space-based CALIOP lidar curtains highlight the prevalence of smoke above the southeast Atlantic
 206 stratocumulus deck on a typical September day, with broad arrows indicating the prevailing boundary layer flow
 207 (white) and a major recirculation pattern for the BB aerosol (dark yellow). The four aircraft of the ORACLES,
 208 CLARIFY and AEROCLO-SA campaigns are shown along with the surface-based deployments at Ascension
 209 Island, St. Helena Island, and Henties Bay, Namibia. The UK has long maintained meteorological offices at
 210 Ascension and St. Helena, with, as shown, almost-daily radiosondes launched at St. Helena. "A" on small white
 211 circles indicate AERONET sites.