Croll Revisited:

Why is the Northern Hemisphere Warmer than the Southern Hemisphere?

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The question of why, in the annual-mean, the Northern Hemisphere (NH) is warmer than the Southern Hemisphere (SH) is addressed, revisiting an 1870 paper by James Croll. We first show that ocean is warmer than land in general which, acting alone, would make the SH, with greater ocean fraction, warmer. Croll was aware of this and thought it was caused by greater specific humidity and greenhouse trapping over ocean than over land. However, for any given temperature, it is shown that greenhouse trapping is actually greater over land. Instead, oceans are warmer than land because of the smaller surface albedo. However, hemispheric differences in planetary albedo are negligible because the impact of differences in land-sea fraction are offset by the SH ocean and land reflecting more than their NH counterparts. In the absence of a role for albedo differences it is shown that, in agreement with Croll, northward cross-equatorial ocean heat transport (X-OHT) is critical for the warmer NH. This is examined in a simple box model based on the energy budget of each hemisphere. The hemispheric difference forced by X-OHT is enhanced by the positive water vapor-greenhouse feedback, and is partly compensated by the southward atmospheric energy transport. Due to large uncertainties in the ocean data, a range of X-OHT is considered. A X-OHT of larger than 0.5PW is needed to explain the warmer NH solely by X-OHT. For smaller X-OHT, a larger basic state greenhouse trapping in the NH, conceived as imposed by continental geometry, needs to be imposed. Numerical experiments with a GCM coupled to a slab ocean provide evidence that X-OHT is fundamentally important in determining the hemispheric differences in temperature. Therefore, despite some modifications to his theory, analysis of modern data confirms Croll’s 140 year-old theory that the warmer NH is partly because of northward X-OHT.
1. Introduction

One of the most fundamental features of the Earth’s climate is that the Northern Hemisphere (NH) is warmer than the Southern Hemisphere (SH) (Fig. 1). There are several possible reasons for this. An informal poll of members of the public and some scientists often produces the answer that it is because the NH has more land and, therefore, heats up more in summer because of the lesser heat capacity. On the other hand, many scientists argue that it is because the ocean transports heat northward across the equator. We have also encountered more subtle arguments such as continental geometry that results in upwelling and equatorward sea ice export in the Antarctic Circumpolar Current (ACC) and Southern Ocean (SO) cooling the SH. Also, it could be argued that the impact of continental geometry on subtropical coastal upwelling preferentially cools the south (Philander et al. 1996). Finally, it could be a transient response to greenhouse gas forcing because the NH has the larger land fraction and heats up faster than the more oceanic SH. While the inter-hemispheric temperature asymmetry is interesting in and of itself, it is also of practical importance because of the influence it exerts on the position of the Intertropical Convergence Zone (ITCZ) (Kang et al. 2008) whose rains are relied upon by many tropical societies for their water and food production.

Although it still seems unclear exactly why the NH is warmer than the SH, James Croll, the founder of the astronomical theory of the ice ages, provided an explanation as early as 1870 (Croll 1870). He thought that the hemisphere with more ocean should be warmer than the one with more land because of higher atmospheric specific humidity, and hence, more greenhouse trapping. Interestingly, we will show that this is in fact incorrect: the
greenhouse trapping in general is greater over land than over ocean at the same temperature.

Nonetheless, given that he thought that this mechanism would make the SH warmer than the NH, Croll claimed that the NH was actually warmer because the ocean transports heat northward across the equator:

The lower mean temperature of the southern hemisphere is due to the amount of heat transferred over from that hemisphere to the northern by ocean-currents.

Croll’s arguments for how currents accomplish this transport was stated as follows:

Since there is a constant flow of water from the southern hemisphere to the northern in the form of surface currents, it must be compensated by undercurrents of equal magnitude from the northern hemisphere to the southern. The currents, however, which cross the equator are far higher in temperature than their compensating undercurrents; consequently there is constant transference of heat from the southern hemisphere to the northern.

He argues that this idea is supported by the fact that the tropical oceans are cooler than the tropical land as a result of the huge ocean heat flux divergence. While Croll was correct that ocean heat flux divergence does cool some equatorial ocean regions, averaged over longitude it turns out that the tropical oceans are warmer than the tropical land masses. Nevertheless, Croll’s northward cross-equatorial ocean heat transport (OHT) explanation is probably the dominant one amongst scientists (e.g. Toggweiler and Bjornsson 2000; the existence of a southward flowing deep western boundary current in the tropical North Atlantic is shown in, for example, Molinari et al. 1992). It is truly remarkable that in 1870, Croll 1) knew that the NH was warmer than the SH, 2) was able to infer a cross-equatorial OHT and 3) provided
a coherent explanation for the temperature asymmetry that, though largely forgotten, is still
invoked 140 years later. As we will show here, Croll appears to have been correct.

2. Data

For surface temperature, NCEP/NCAR reanalysis data (Kistler and Coauthors 2001)
for the period from 1979 to 2010 are used. The NCEP/NCAR reanalysis data has equally
spaced 192 longitudinal points and unequally spaced 94 latitudinal points. As reanalysis
data might not be the best for inferring surface temperature as it is a derived quantity based
on the energy balance over land, we have also used observed surface air temperatures in
Jones et al. (1999) for the period of 1850 through 2010. The data has a horizontal resolution
of 5° longitude × 5° latitude. We have fully confirmed that the results for surface air
temperature are consistent between the two data sets. Hence, the figures are shown only
using the higher resolution NCEP/NCAR reanalysis data for simplicity and the values in
the text show the mean and the standard deviation from the two data sets for 1979–2010.
The inter-hemispheric temperature difference in the two data sets as a function of time is
shown and discussed in the Appendix. The annual mean as well as seasonal averages will
be analyzed where winter (summer) in the NH is computed as the average of December-to-
February (June-to-August) and vice versa in the SH.

To understand the inter-hemispheric differences in surface temperature, the radiation
budget and the meridional energy transport by the atmosphere and ocean will be exam-
ined. The top-of-atmosphere (TOA) energy budget is determined from the Clouds and the
Earth’s Radiant Energy System satellite data (CERES; Wielicki et al. 1996), which is on
a T63 Gaussian grid, for March 2000 through October 2005 after some adjustments as described in Fasullo and Trenberth (2008a). For the CERES data, the time mean is taken by accounting for the different number of days in each month. The atmospheric energy transport – the vertical integral of the meridional transport of the sensible heat, potential energy, kinetic energy, and latent energy – is computed from NCEP/NCAR (Kistler and Coauthors 2001) and ERA40 (Uppala and Coauthors 2005) reanalyses data for 1979–2007, and the adjusted data using the two reanalyses is obtained from Fasullo and Trenberth (2008b). For the oceanic energy transport, due to large uncertainties in the ocean data, we consider a range of values as discussed in Section 4a. The total cloud amount in Section 4b is from the International Satellite Cloud Climatology Project (ISCCP) cloud product (Rossow and Schiffer 1991), with resolution of 2.5° longitude × 2.5° latitude, for the period from July 1983 to June 1991. Although the periods covered by each data set vary widely, the inter-hemispheric temperature contrast from NCEP/NCAR, which is the focus of this study, for the four different periods given above does not vary much, with the NH warmer by 1.24°C on average with 0.09°C standard deviation. This justifies the use of the radiation budget for the available period to understand the mean inter-hemispheric temperature contrast.

3. Temperature Differences

a. Inter-hemispheric Differences

The NH is warmer than the SH by 1.24±0.16°C in the annual mean (Fig. 1a). The warmer NH is also found in the multi-model mean of the preindustrial runs of 24 CMIP3 models
(Meehl et al. 2007), but with a smaller magnitude of 1.13°C. This suggests that the warmer
NH is not a transient adjustment to greenhouse gas forcing with the more-land hemisphere
leading, rather it is a basic characteristic of the Earth’s climate. This is consistent with
Croll identifying the inter-hemispheric temperature difference in 1870 before human impacts
on the global scale climate system were appreciable. Interestingly, not all models produce
a warmer NH, possibly because of large cloud biases over the Southern Ocean that cause
a double ITCZ problem (Hwang and Frierson 2013). Furthermore, the inter-hemispheric
difference is also present at 700mb with the NH 2.0°C warmer than the SH, excluding the
possibility of the surface temperature difference being caused by Antarctica’s high elevation.

When divided into seasons, that is, NH summer compared to SH summer and NH winter
compared to SH winter, it is clear that the warmer NH in the annual mean results from the
inter-hemispheric north minus south difference in summers of 4.35±0.21°C being partly off-
set by a smaller, opposite sign, difference of 2.20±0.42°C in winters. There is also a greater
seasonal variation of temperature in the NH, 11.70±0.42°C, as opposed to 5.10±0.14°C in
the SH, because the massive NH continents have interiors far from the oceans that warm in
summer and cool in winter whereas SH continents are more influenced by ocean tempera-
tures that themselves vary less with season due to large thermal inertia and storage of heat
within the wind-driven mixed layer. The influence of different heat capacities of the NH and
SH, due to more land in the NH, should to first order effect the seasonal cycle of temperature
but not the annual mean. However that ignores the possibility of a coupling between the
seasonal cycle and feedbacks (e.g. water vapor, cloud cover) that do regulate annual mean
temperature. After our analysis of the inter-hemispheric temperature difference and appli-
cation of a simple model that ignores the seasonal cycle this possibility will be addressed


using a comprehensive global climate model.

As land and ocean temperatures are vastly different due to contrasting thermal inertia and heat storage, it is useful to breakdown the inter-hemispheric temperature difference as following:

\[
\Delta T = f_{O,N}T_{O,N} + (1 - f_{O,N})T_{L,N} - f_{O,S}T_{O,S} - (1 - f_{O,S})T_{L,S}.
\] (1)

Here, \(\Delta T\) denotes the difference of temperature between the two hemispheres, \(f_O\) is fraction of ocean, \(T_L\) is area-weighted average temperature of land and \(T_O\) area-weighted ocean temperature. The subscripts \(N\) and \(S\) denote the Northern and the Southern Hemispheres, respectively. The hemispheric mean temperatures of ocean and land, \(T_O\) and \(T_L\), for both hemispheres are compared separately in Figs. 1b and 1c. The NH is warmer than the SH over ocean in both seasons and over land in summer. The latitudinal structure of the NH–SH difference in \(T_O\) in Fig. 2b indicates that the NH oceans are warmer than the SH oceans throughout the year at almost all latitudes. NH land is warmer than SH land in summer (Fig. 2c). However, between the latitudes of 28° and 66° where the vast northern continents are, winter \(T_L\) is cooler in the north than the south because the southern continental temperatures are more moderated by ocean temperatures. At these latitudes, summer \(T_L\) is warmer in the NH by 1.45±0.35°C but winter \(T_L\) is colder by 3.35±0.63°C. This implies that for the mid-latitudes the cooling effect of land in winter outweighs its warming effect in summer. Hence, in the annual mean the presence of continents tends to actually cool the NH mid-latitudes relative to the SH mid-latitudes (Fig. 2a). However, the tropical land regions equatorward of 28° and the high latitudes poleward of 66° are warmer in the north almost throughout the year.
As depicted in Fig. 3, the differences in land (or ocean) fraction between the two hemispheres complicates the picture of dividing hemispheric mean temperature into contributions from $T_L$ and $T_O$. Because there is a larger ocean fraction in the south, ocean temperatures are weighted more when computing the hemispheric mean. For example, during winters, although NH oceans are warmer than SH oceans, and the difference in land temperatures is small, the NH is colder than the SH because the land is colder than the ocean and the north has more land. Hence, in addition to temperature differences between land and ocean, both within a hemisphere and between the hemispheres, the inter-hemispheric temperature difference is also contributed by differences in the fractional coverage of ocean. Therefore, we divide up the inter-hemispheric difference into these three components. By setting

$$f_{O,N} = f_O - \Delta f_O$$
$$f_{O,S} = f_O + \Delta f_O$$

where $\Delta f_O = 0.11$ is the half of the inter-hemispheric difference of fraction of ocean, Eq. (1) is rewritten as:

$$\Delta T = f_O(T_{O,N} - T_{O,S}) + (1 - f_O)(T_{L,N} - T_{L,S}) + \Delta f_O(T_{L,N} + T_{L,S} - T_{O,N} - T_{O,S}). \ (2)$$

These three terms can be thought of as, in order, a term due to differences in ocean temperature, second, a term due to differences in land temperature and, third, a term due to differences in land and ocean fractions. Fig. 4 shows the decomposition of inter-hemispheric temperature differences using Eq. (2) for the annual mean and each season. The warmer NH in the annual mean is due to both the ocean and land being warmer in the north than the south while this is partly offset by the larger fraction of land in the north which tends to make the NH cooler. As seen from Fig. 2, the greater land fraction in the NH warms northern summers, but greatly cools winters and hence cools the NH in the annual mean. In all seasons, the warmer ocean in the north contributes the most to preferentially warming
b. Ocean versus Land Temperatures

It is shown in the last section that land, compared to ocean, gets colder in winter by a larger amount than it gets warmer in summer, suggesting the hemisphere with the larger land fraction should be colder on average: this effect would make the SH warmer than the NH. Here, we examine, and explain why, in general, ocean is warmer than land. Fig. 5a shows the latitudinal distribution of the difference between the ocean and land temperatures. In winter, \( T_O \) is significantly warmer than \( T_L \) at all latitudes because land with small heat capacity cools more effectively than the ocean. In contrast, in summer, \( T_L \) is warmer than \( T_O \) in the mid-to high latitudes because the land warms up more than the ocean. This seasonal variation is greater in the north because the northern continents are larger and more shielded from the mitigating ocean effects. As expected, there is little seasonal variation in the tropics, with the ocean always being warmer than the land. Because the degree to which the ocean is warmer in winter is much larger than the degree to which ocean is colder in summer, ocean in the annual mean is warmer than land at every latitude. If this was the only process operating then we would expect the SH, with more ocean, to be warmer than the NH. Or, as Croll stated it:

Were there no ocean-currents, it would follow, according to theory, that the southern hemisphere should be warmer than the northern, because the proportion of sea to land is greater on that hemisphere than on the northern; but we find that the reverse is the case.
Then, why is ocean warmer than land in general? Croll (1870) thought that the ocean will be warmer because of the greater amount of water vapor above and larger greenhouse trapping, (although he did not use that term). Croll argued that:

The aqueous vapour of the air acts as a screen to prevent the loss by radiation from water, while it allows radiation from the ground to pass more freely into space; the atmosphere over the ocean consequently throws back a greater amount of heat than is thrown back by the atmosphere over land.

To check this, the greenhouse trapping, $G$, is computed as the difference between the upward longwave radiation at the surface and the outgoing longwave radiation (OLR), that is,

$$ G \equiv \epsilon \sigma T^4 - F $$

where $\epsilon$ is surface emissivity, $\sigma$ is Stefan-Boltzmann constant, $T$ is surface temperature, and $F$ is OLR from CERES. The entire upward longwave flux at the surface, $\epsilon \sigma T^4$ is obtained from Fasullo and Trenberth (2008a). The latitudinal difference of $G$ over ocean and land in Fig. 5b indeed indicates that, at a given latitude, the greenhouse trapping is generally larger over the ocean in the annual mean. The exception is over the lower latitudes in the SH and arises from a contrast between large greenhouse trapping over the Amazon and Congo and weak trapping over the cool southeast tropical Pacific and Atlantic Oceans (refer to Fig. 12).

However, $G_O$ could be larger than $G_L$ solely because $T_O$ is warmer than $T_L$. Hence it is more informative to compare $G$ over ocean and land at the same temperature. To do so, the global temperature data is binned in intervals of 2$^\circ$C, and $G$, multiplied by the grid area, is summed within the bin. Fig. 6 indicates that the greenhouse trapping is in fact larger for any given temperature over the land than over the ocean. The exceptions are at very
high temperatures where there is very high greenhouse trapping over the Indo-Pacific warm
pool and at very low temperatures where there is very weak trapping over very cold and dry
continents. Over land, the atmospheric specific humidity can get very high within summer
monsoons, especially over Asia and North America. In contrast, over oceans, the atmospheric
specific humidity can get very low in the descending, eastern, branches of the subtropical
anticyclones, which partly owe their existence to monsoonal heating during summer over
land (Rodwell and Hoskins 2001, Seager et al. 2003). Hence, greater greenhouse trapping
over the ocean cannot be the reason why the ocean is warmer than the land. The reason for
the ocean being warmer than land is instead because of the smaller TOA albedo over ocean
(Fig. 5c).

Do inter-hemispheric TOA albedo differences then contribute to the inter-hemispheric
temperature difference with the SH having a lower albedo? Following Eq. (2), the north-
south difference of shortwave reflection at TOA \( S^\uparrow \) from CERES can be decomposed as:

\[
\Delta S^\uparrow = f_O (S_{O,N}^\uparrow - S_{O,S}^\uparrow) + (1 - f_O) (S_{L,N}^\uparrow - S_{L,S}^\uparrow) + \Delta f_O (S_{L,N}^\uparrow + S_{L,S}^\uparrow - S_{O,N}^\uparrow - S_{O,S}^\uparrow).
\]

The inter-hemispheric difference of \( S^\uparrow \), and its contributions from differences over ocean, over
land, and land-ocean fraction difference, are plotted in Fig. 7. More ocean cover in the SH
indeed acts to decrease \( S^\uparrow \) in the SH compared to the NH. However this difference is offset by
the fact that the SH ocean and land reflect more than their NH counterparts. This is partly
because of more clouds in the SH (refer to Fig. 11) due to both extensive subtropical stratus
decks and cloud cover over the Southern Ocean. Hence, the hemispheric mean difference
in shortwave reflection at TOA is negligible (\(~0.01\) Wm\(^{-2}\)) suggesting little impact of \( \Delta S^\uparrow \),
or, equivalently the inter-hemispheric difference of TOA albedo on the inter-hemispheric
temperature difference.

4. Meridional Energy Transport and Radiation Budget

Regional temperatures are determined by the radiation budget and the energy transports by the atmosphere and ocean. One may expect warmer temperature where there is more greenhouse trapping, less shortwave reflection, and more energy convergence by atmosphere and ocean. Hence, in this section, the top-of-atmosphere (TOA) radiation budget and meridional energy transports by ocean and atmosphere are examined to study what leads to the warmer NH.

a. Cross-equatorial Oceanic Energy Transports

The oceanic energy transport divergence is balanced by the ocean heat content tendency and the downward surface heat fluxes, which in turn is balanced by the atmospheric energy transport divergence and tendency and the net TOA radiative flux. Neglecting ocean heat content tendency in the long term mean, the OHT can be inferred as a residual of the TOA flux, determined by satellite retrievals from ERBE and CERES, and atmospheric energy transport divergence, computed from reanalyses. By combining with different datasets, Trenberth and Fasullo (2008; hereafter TF08) inferred that, in the annual mean, the median total cross-equatorial ocean transport is 0.1 PW with a two standard deviation spread of 0.6 PW. Hence, even the sign of the cross-equatorial OHT is not well constrained. However, more recently, Frierson et al. (2013) used the same method applied to the new ERA-Interim dataset
Dee and coauthors (2011) over the time period of 2001–2010, and estimated an annual-mean cross-equatorial OHT of 0.46 PW. Similarly, Marshall et al. (2013) inferred that the ocean transports order 0.4 PW northward across the equator. These indirect estimates of OHT are consistent with a more direct estimate of 0.4±0.2 PW, based on global high-quality hydrographic data and current meters (Marshall et al. 2013). In sum, although there still exist large uncertainties in the magnitude of cross-equatorial OHT, numerous studies point to a northward OHT across the equator.

In the Atlantic, TF08 found for the median a large annual mean northward cross-equatorial ocean transport of 0.56±0.09 PW. The northward transport is in all seasons and corresponds to a warmer North Atlantic than South Atlantic in all seasons (Fig. 8). In the Pacific, the median gave little cross-equatorial transport regardless of season, with -0.01±0.19 PW in the annual mean (TF08), but the coastal upwelling in the southern subtropics, west of Chile (Philander et al. 1996) still allows the South Pacific to be colder than the North Pacific. In the Indian Ocean, there is a large seasonal cycle in the cross-equatorial ocean transport, with the median of the estimates having 1.4 PW to the north in boreal winter (December through February) and 1.8 PW to the south in austral winter (June through September) (Loschnigg and Webster 2000). Hence, when comparing NH winter with SH winter, and vice versa, Indian OHT has little impact on the inter-hemispheric temperature contrast.

Because of the large seasonality in the Indian sector, the total energy transport by the ocean also exhibits a pronounced seasonal variability with a northward transport in boreal winter and a southward transport in boreal summer (Fig. 9a in TF08). However, in the annual mean, the total cross-equatorial ocean transport is relatively small with large uncer-
tainty, as discussed above. Nevertheless, the fact that warmer ocean temperatures in the north are most responsible for the warmer NH (Fig. 4), and that the Atlantic sector exhibits the largest inter-hemispheric contrast (Fig. 8) clearly do hint at the important role of cross-equatorial ocean transport. Next we use a simple box model calculation in Section 5 and general circulation model (GCM) experiments in Section 6 to suggest that the OHT must indeed be northward across the equator.

b. TOA Radiation Budget

The inter-hemispheric differences of downward ($S_{\downarrow}$) and upward ($S_{\uparrow}$) shortwave radiation at TOA, and greenhouse trapping ($G$) are shown in Fig. 9. Earth is closer to the Sun during SH summer, but, due to Kepler’s Second law, also moves faster in its orbit when closer to the Sun. These two effects cancel, so that the annual mean $S_{\downarrow}$ is the same for the two hemispheres, which is true according the CERES data where the inter-hemispheric difference is less than 0.1 Wm$^{-2}$. Also, as shown in Fig. 7, the inter-hemispheric difference of shortwave reflection at TOA is negligible. Hence, the effect of net TOA solar radiation on $\Delta T$ is minuscule. In contrast, there is a significantly larger amount, 5.85 Wm$^{-2}$, of longwave radiation trapped within the atmosphere in the NH in the annual mean. The seasonal variation of the NH minus SH difference in the greenhouse effect, positive in summer and negative in winter, is proportional to the inter-hemispheric temperature difference indicating the expected coupling between temperature and greenhouse trapping.

The latitudinal structures of the inter-hemispheric differences in $S_{\uparrow}$ and $G$ are shown in Fig. 10 and that of cloud cover in Fig. 11. In summer seasons, the NH reflects less shortwave
radiation at TOA than the SH in the mid- to high latitudes. This is despite ice-free land
albedo being larger than ocean albedo and is due to less cloud cover (Fig. 11) and the high
albedo Antarctic ice sheet. In winter seasons, the NH reflects more partly due to snow cover
over land poleward of $40^\circ$. However there is also more TOA shortwave reflection in the NH
between $15^\circ$ and $40^\circ$ even though cloud cover is less in the NH all year long because of the
high albedo deserts (Fig. 11). The TOA shortwave reflection is larger in the NH equatorward
of $15^\circ$ because of clouds associated with the NH ITCZ.

The gross features of the latitudinal structure in the inter-hemispheric difference in green-
house trapping (Fig. 10) are well correlated with those in surface temperatures (Fig. 2a), ex-
cept the local maxima in the deep tropics where there is little temperature differences. The
peak in $\Delta G$ in the tropics is due to a super greenhouse effect, whereby greenhouse trapping
increases with surface temperature so strongly that OLR actually decreases as the surface
warms (Raval and Ramanathan 1989). During winter seasons the smaller greenhouse trapp-
ing in the NH in the mid- to high latitudes is due to drying and cooling of the continents.
The opposite occurs in summer seasons. The map of annual mean greenhouse trapping
overlain with surface temperature (Fig. 12) clearly indicates that these are well correlated.

On the face of it then the NH is warmer than the SH because of the greater greenhouse
trapping in the NH. This is in contrast with Croll’s expectation that the hemisphere with
more ocean would have the greater trapping and be warmer were it not for ocean heat export
from the SH to the NH. However it could be that the greater greenhouse trapping in the NH
is not the cause of that hemisphere being warmer but a consequence, via the positive water
vapor-greenhouse feedback, of the ocean heat export warming the NH relative to the SH. To
examine this we first turn to a very simple model of the Earth’s climate system.
5. A Simple Model of Inter-hemispheric Temperature Asymmetry

To examine whether inter-hemispheric differences in $G$ are causes or effects of inter-hemispheric differences in surface $T$, a simple box model is used that solves for $\Delta T$ from the energy budget, as depicted in Fig. 13. The model conceptually follows those in Ramanathan and Collins (1991) and Sun and Liu (1996), except that the radiative budgets are not separated out into clear-sky and cloudy-sky regions and the subsurface ocean currents are not explicitly accounted for and instead ocean heat transport is specified. Two boxes with temperatures $T_S$ and $T_N$ respectively represent the SH and the NH. At TOA, there is net shortwave radiation $S$ and outgoing longwave radiation $F$. Because the inter-hemispheric difference in $S$ is negligible, the net shortwave radiation $S$ is considered to be the same for the two hemispheres by taking the global mean of $S_0=239.5 \text{ Wm}^{-2}$. From Eq. (3), the OLR is given by $F = \sigma T^4 - G$ where $\sigma=5.67 \times 10^{-8} \text{ Wm}^{-2}\text{K}^{-1}$, $G$ is the greenhouse trapping and $T$ is the surface temperature, $T_S$ or $T_N$. The northward oceanic transport $F_O$ is prescribed, but due to its uncertainties a range of values from 0 to 1.10 PW is used (Section 4a). The atmospheric transport $F_A$ is thermally direct and parameterized as a function of $\Delta T = T_N - T_S$, i.e. $F_A = \frac{\partial F_A}{\partial \Delta T} \Delta T$. The annual mean values of $F_A$ at the equator from Fasullo and Trenberth (2008b) and $\Delta T$ from NCEP/NCAR reanalysis are used between 1979 and 2007 to compute the coefficient, $\frac{\partial F_A}{\partial \Delta T}$, referred to as the strength of atmospheric transport, by regressing $F_A$ onto $\Delta T$. This yields the value of -0.20 PW $\text{K}^{-1}$ with a correlation of 0.44 between the two time series which is significant at the 95% level. To express atmospheric and oceanic energy transport in a flux form in Wm$^{-2}$, $F_A$ and $F_O$ are divided by the hemispheric mean area,
The energy budget for the two boxes can be written as:

\[ S_0 - \sigma T_S^4 + G_S - F_O/A_0 + \frac{\partial F_A/A_0}{\partial \Delta T} \Delta T = 0 \]  

(4)

\[ S_0 - \sigma T_N^4 + G_N + F_O/A_0 - \frac{\partial F_A/A_0}{\partial \Delta T} \Delta T = 0 \]  

(5)

The above equations can be linearized by applying the first-order Taylor expansion around global mean values denoted by the subscript 0 as:

\[ S_0 - \{\sigma T_0^4 + 4\sigma T_0^3 (T_S - T_0)\} + \{G_{0,S} + \frac{\partial G}{\partial T} (T_S - T_0)\} - F_O/A_0 + \left| \frac{\partial F_A/A_0}{\partial \Delta T} \right| \Delta T = 0 \]  

(6)

\[ S_0 - \{\sigma T_0^4 + 4\sigma T_0^3 (T_N - T_0)\} + \{G_{0,N} + \frac{\partial G}{\partial T} (T_N - T_0)\} + F_O/A_0 - \left| \frac{\partial F_A/A_0}{\partial \Delta T} \right| \Delta T = 0 \]  

(7)

Note that \( G \) is linearized at different basic states in each hemisphere (\( G_{0,S} \) and \( G_{0,N} \)), in recognition of potential differences in thermal characteristics between the two hemispheres not caused by the temperature difference but imposed by differences in fractional coverage of ocean, continental arrangements, etc. For example, \( G_{0,N} \) could be larger than \( G_{0,S} \), despite greater ocean fraction in the south, because subtropical dry regions over the ocean are more developed in the SH than the NH and due to massive humidity in Asia associated with the summer monsoon.

By adding the two equations, we get a constraint on the global mean greenhouse effect, \((G_{0,S} + G_{0,N})/2 = \sigma T_0^4 - S_0 \approx 288.8 \text{ Wm}^{-2}\) where \( T_0 \) is the global-mean annual-mean surface temperature of 14.8°C. Eqs. (6) and (7) can be expressed in terms of one unknown, \( \Delta T \):

\[ \left( 2\sigma T_0^3 - \frac{1}{2} \frac{\partial G}{\partial T} + \left| \frac{\partial F_A/A_0}{\partial \Delta T} \right| \right) \Delta T = F_O/A_0 + \Delta G_0/2. \]  

(8)

\( \Delta G_0 \equiv G_{0,N} - G_{0,S} \) is the basic state \( G \) difference between the hemispheres. \( \frac{\partial G}{\partial T} \) (=4.0 Wm\(^{-2}\)K\(^{-1}\)), referred to as the greenhouse trapping efficiency, is estimated as \( 4\sigma T_0^3 - \frac{\partial F}{\partial T} \)

where \( \frac{\partial F}{\partial T} \) (=−1.5 Wm\(^{-2}\)K\(^{-1}\)) is the regression coefficient relating the monthly anomalies of
global mean OLR to that of $T$ between 2001 and 2004 which are correlated with a coefficient of 0.40 significant at the 95% level. OLR is from CERES data and surface temperature $T$ is from NCEP/NCAR reanalysis.

The model results for $\Delta T$ from Eq. (8) as a function of $F_O$ for the case with $\Delta G_0=0$ are plotted in Fig. 14a. The shading indicates the one standard deviation of observed $\Delta T$ from its global mean. The realistic solutions are those that fall within the shaded area. The reference state with the most reasonable estimates of parameters, $\frac{\partial G}{\partial T}=4.0 \text{ Wm}^{-2}\text{K}^{-1}$ and $\frac{\partial F_A}{\partial \Delta T}=0.20 \text{ Wm}^{-2}\text{K}^{-1}$, is plotted in black and requires northward $F_O$ of about 0.57 PW to fall in the realistic range of $\Delta T$. When there is no cross-equatorial transport by the atmosphere (blue), $\Delta T$ increases and deviates from the realistic range for a cross-equatorial $F_O$ greater than 0.2 PW, suggesting that the atmosphere acts to reduce the inter-hemispheric contrast. That is, with smaller $\frac{\partial F_A}{\partial \Delta T}$, the thermally direct $F_A$ is smaller and the required magnitude of cross-equatorial $F_O$ for yielding a reasonable $\Delta T$ is less. When the greenhouse trapping efficiency increases (red), the inter-hemispheric difference gets larger via positive water vapor feedback for a given $F_O$ as the warmer NH gets even warmer and the cooler SH cools more.

The resulting inter-hemispheric difference of greenhouse trapping, $\Delta G$ for a given $\Delta T$ is displayed in Fig.14b. Here the horizontal shading shows the range of the observed $\Delta G$. Because $\Delta G$ is determined by $\frac{\partial G}{\partial T}$ and $\Delta T$, it changes only when the efficiency $\frac{\partial G}{\partial T}$ varies for a given $\Delta T$ and, hence, the lines representing cases with (black) and without (blue) atmosphere heat transport are overlapped. The greenhouse trapping contrast increases with larger $\Delta T$ or as its efficiency gets larger. In the cases with a realistic range of $\Delta T$, the reference state including atmospheric energy transport yields $\Delta G$ that is at the margin of the observed range.
In the box model with the same basic state $G$ in each hemisphere, the northward oceanic transport is the only mechanism that can produce a warmer NH. However, the magnitude of northward OHT needed for realistic solutions appears larger than the data supports (Section 4a). Hence, we consider the case with nonzero $\Delta G_0$, since not all of $\Delta G$ in Fig. 9 need be a result of $\Delta T$, but could be in part due to basic state differences as suggested in Fig. 6 and discussed above. The model solutions for $F_O=0$ as a function of $\Delta G_0$ are shown in Figs. 14c and 14d. For the reference solution (black) to be in the realistic $\Delta T$ range, it requires $\Delta G_0 \approx 4 \text{ Wm}^{-2}$, which then yields $\Delta G \approx 9 \text{ Wm}^{-2}$, much larger than the real value of 5.85 Wm$^{-2}$. That is, with no ocean heat transport, too large of an inter-hemispheric difference of $G$ is needed to obtain the right magnitude of $\Delta T$. We therefore consider a combination of the first two models that takes into account both $F_O$ and $\Delta G_0$. Figs. 14e and 14f show the solutions for $F_O=0.3$ PW, which is within the range in Fasullo and Trenberth (2008b) and Frierson et al. (2013), as a function of $\Delta G_0$. The realistic $\Delta T$ is obtained for $\Delta G_0 \approx 1.5 \text{ Wm}^{-2}$, which then yields $\Delta G$ within the realistic range of about 6.0 Wm$^{-2}$. The current quality of the OHT data precludes an exact estimation of $\Delta G_0$, but it would be smaller for larger $F_O$.

For plausible magnitudes of northward OHT, positive $\Delta G_0$, greenhouse trapping efficiency and atmospheric heat transport strength, the box model does predict values of $\Delta T$ and $\Delta G$ that are consistent with those observed. Therefore the model supports the idea that a northward cross-equatorial OHT is required for the inter-hemispheric temperature difference on Earth, as Croll suggested.
6. Effect of Symmetrizing the OHT in an AGCM

As a final quantitative test of the effect of OHT on the hemispheric temperature difference, we examine simulations from a comprehensive atmospheric GCM. The ECHAM GCM version 4.6 with realistic geography and comprehensive physical parameterizations is used. The model’s ocean is represented by a slab, with convergence and divergence of ocean heat transport and correction to the seasonal ocean heat storage represented by a prescribed so-called Q-flux derived from an integration of the AGCM with the observed SST imposed. The model simulates the seasonal cycle and is integrated for 30 years with the results shown averaged over the last 20, allowing for a 10-year spin up. In this control simulation, denoted as CNT, the NH is warmer than the SH by 1.42°C, slightly larger than the observed value of 1.24°C.

We test the effect of cross-equatorial OHT on $\Delta T$ by symmetrizing the imposed Q-flux between the hemispheres, first globally, and then in the Atlantic Ocean only. This ensures that the hemispherically averaged net ocean surface heat flux is the same in each hemisphere and, by implication, the cross-equatorial OHT is zero. To do this, first, a cross-equatorial OHT, $F_O$, is defined as the annual average of the Q-flux integrated from the north pole to the equator and that integrated from the south pole to the equator. Then, the cross-equatorial OHT is divided by the area of ocean in each hemisphere to get a spatially uniform Q-flux correction which is applied in each hemisphere. In this way the northward $F_O$ of 1PW in CNT is removed by cooling the NH ocean grid points by 6.42 Wm$^{-2}$ and heating the SH ocean grid points by 4.86 Wm$^{-2}$. This perturbed experiment with global Q-flux corrections is referred to as GLO. A similar strategy has been adopted by Frierson et al. (2013) to show
evidence for the OHT causing the ITCZ to be in the NH.

The annual-mean surface temperature difference between GLO and CNT is plotted in Fig. 15a. In contrast to the control case that with a realistic inter-hemispheric temperature difference, the case with the globally symmetrized surface flux simulation has the SH warmer than the NH by $1.55^\circ$C. This is because of the massive NH mid-latitude continents. The fact that the interhemispheric temperature difference switches sign in going from no OHT to realistic OHT provides strong GCM-based evidence that cross-equatorial OHT is fundamentally important in determining the hemispheric difference in temperature.

To further investigate the importance of the cross-equatorial OHT in the Atlantic, where the northward ocean transport and the NH-SH temperature contrast are largest, we next utilize the same procedure as above, but only modify the surface flux in the Atlantic Ocean basin, referred to as ATL. The northward OHT of 0.73 PW in the Atlantic is removed by prescribing cooling of 17.70 Wm$^{-2}$ in the northern Atlantic basin and warming of 15.49 Wm$^{-2}$ in the southern Atlantic basin. The latitude-longitude structure of the annual-mean surface temperature difference between ATL and CNT is plotted in Fig. 15b. This simulation also has the SH warmer than the NH by $1.08^\circ$C. The cooling response in the North Atlantic is more amplified than that in GLO, but the signal spreads remarkably zonally into Europe, across Asia, and into the Pacific Ocean as well. The warming response is centered in the Southern Ocean south of the Atlantic basin, but exhibits even more zonal symmetry than the NH cooling pattern. This zonal homogenization of zonally asymmetric extratropical thermal forcing is primarily due to zonal advection by midlatitude westerlies (Kang et al. 2013). Since the model includes a full seasonal cycle the experiments with no cross-equatorial OHT discount the possibility that some coupling between the seasonal cycle, albedo and green-
house trapping accounts for the NH being warmer than the SH. We infer from these results that the cross-equatorial Atlantic OHT (likely associated with the meridional overturning circulation) is the primary cause of the interhemispheric temperature difference in this GCM, consistent with Feulner et al. (2013).

7. Discussion

The box model based on the TOA energy budget suggests that the necessary factors for the NH to be warmer than the SH are:

- a northward cross-equatorial ocean heat transport
- a larger basic state greenhouse effect in the north

The larger annual-mean inter-hemispheric difference in the Atlantic sector (5.2°C) than in the Pacific sector (2.5°C) (Fig. 8) supports this idea because the northward cross-equatorial OHT occurs in the Atlantic Ocean (e.g. see Fig. 9 in TF08). That is, the ocean transports energy northward, the NH gets warmer and this inter-hemispheric difference is enhanced by greenhouse trapping. The inter-hemispheric temperature difference is partly compensated by the atmospheric energy transport from the north to the south which is mostly accomplished by stationary eddies (Heaviside and Czaja 2012).

However, the quality of ocean data is insufficient for deriving not only the magnitude but also the direction of cross-equatorial OHT. The fact that the hemispheric mean TOA radiative fluxes are almost symmetric about the equator but the atmosphere transports energy southward across the equator ensures that the cross-equatorial OHT must be northward.
For the inter-hemispheric temperature difference to be entirely caused by northward cross-equatorial OHT a value (∼0.6 PW) at the very upper limit of the observational range is required. A more reasonable OHT is inferred if some portion of the inter-hemispheric temperature difference arises from a difference in the basic state greenhouse trapping, i.e. some aspect of the atmospheric circulation and humidity distribution that creates more greenhouse trapping in the NH than the SH independent of the temperature difference. However, since the greenhouse effect incorporates efficient positive feedback, it is difficult to extract this postulated basic state difference between the two hemispheres.

Only much more accurate OHT data, presumably obtained through combinations of in-situ data, atmospheric and oceanic Reanalyses, satellite data and adjoint methods, will be able to settle the contribution of cross-equatorial OHT to the inter-hemispheric temperature difference. The GCM experiments where the surface fluxes are symmetrized between the hemispheres exhibit the colder NH, providing strong evidence for a critical role of OHT for the warmer NH. The simple box model, solving for the energy balance of each hemisphere, aids understanding the cause for the warmer NH in a very straightforward manner. This simplicity is achieved by lumping together a number of factors such as clouds, topographic effects, continental distribution in aggregate form within the model parameters such as the basic state greenhouse trapping. As a consequence, we conclude that it is likely that there is an additional cause of the asymmetry in addition to cross-equatorial OHT but the simplicity of the model does not allow us to determine how this arises. The detailed mechanism as well as the issue of a postulated inter-hemispheric difference in basic state greenhouse trapping would most likely be best addressed with idealized climate modeling. In the meantime the results presented here, including the results of the simple model do represent significant
progress in understanding of this important aspect of the Earth’s mean climate.

8. Conclusions

We have discussed why the NH is warmer than the SH in the annual mean and pursued the idea, put forth by Croll (1870), that it is caused by northward cross-equatorial OHT. Croll (1870) claimed that, in the absence of cross-equatorial OHT, the hemisphere with the larger ocean fraction would be warmer because of higher specific humidity, and hence, more greenhouse trapping. It is true that the ocean is warmer than land at every latitude in the annual mean. However, we find that, and contrary to Croll’s expectations, the greenhouse trapping is in fact larger over land than ocean at the same temperature. The reason for the warmer ocean is, instead, the smaller surface albedo of the ocean. Therefore the larger land fraction in the north acts to cool the NH relative to the SH. This effect is offset by the fact that both the NH land masses and the NH oceans are warmer than their SH counterparts.

Of these it is the warmer ocean in the north that contributes most to preferentially warming the NH. A simple box model based on the energy budget shows that in order to obtain an inter-hemispheric temperature difference within the observed range an unrealistically large greenhouse trapping difference is needed if there is no ocean transport across the equator. On the other hand, unrealistically large northward cross-equatorial OHT is needed for the case with no basic static greenhouse trapping difference between the two hemispheres. The most reasonable solution combines a modest northward OHT and an inter-hemispheric difference in the basic state greenhouse trapping. Hence, the greater greenhouse trapping in the NH (by 5.9 Wm$^{-2}$) is not the main cause of the warmer north but more of a consequence, and
the northward OHT is critical to producing a warmer NH, consistent with Croll (1870). The importance of the OHT is supported by GCM experiments that have colder NH when the cross-equatorial OHT is set to zero. We also suggest that the inter-hemispheric temperature difference is contributed to by an inter-hemispheric difference in the basic state greenhouse trapping, whose magnitude would depend on the size of cross-equatorial OHT. This inter-hemispheric temperature difference created by these mechanisms is enhanced by the positive water vapor-greenhouse feedback. The thermally direct tropical mean atmospheric circulation then partly compensates for this inter-hemispheric contrast by transporting energy southward in association with having the mean ITCZ in the NH.

It is normally assumed that the northward OHT, which occurs in the Atlantic Ocean, is a result of the deep Atlantic meridional overturning circulation with northward surface flow, sinking in the North Atlantic and southward flow at depth (Molinari et al. 1992) and upwelling around Antarctica and it can be reproduced in this way easily in idealized models (Toggweiler and Bjornsson 2000). As such, it is a consequence of the arrangement of continents and oceans on the planet that enable the Atlantic Ocean to be saltier than the Pacific Ocean (Emile-Geay et al. 2003). On the other hand it has not to our knowledge been demonstrated that Atlantic cross-equatorial OHT could not exist in the absence of the deep overturning circulation just as it does (to the south) in the Indian Ocean. Consequently a definitive account of why the NH is warmer than the SH requires a thorough accounting for the causes of the cross-equatorial OHT because, as shown here, this is a fundamental cause of the asymmetry.

However, unless estimates of cross-equatorial OHT are highly inaccurate, it is unlikely that this is the sole cause of the inter-hemispheric temperature difference. An inter-hemispheric
difference in greenhouse trapping appears to be a probable additional cause. This would likely be a result of how the arrangement of continents, and its impact on atmosphere-ocean circulation features such as monsoons, subtropical anticyclones and ocean upwelling regions, determines the distribution of atmospheric water vapor. To assess if this is so will require much more research including the use of idealized and comprehensive climate models.

The fact that the NH is warmer than the SH is potentially linked to the fact that the ITCZ is in the NH (Kang et al. 2008, Frierson et al. 2013). The mechanisms for the inter-hemispheric temperature difference are such that we would expect the difference to change as a result of radiatively-forced climate change and, hence, influence the ITCZ position. For example, the hemispherically asymmetric anthropogenic aerosol distribution could impact the inter-hemispheric temperature contrast and, in turn, the ITCZ location both in the past and in the future (Cai et al. 2006, Hwang et al. 2013). Millions of the world’s population depend on ITCZ rains for their water and food making the problem of how ITCZ location is determined one of great social relevance. It is sobering that the current state of observations of the Earth’s climate system is inadequate to fully answer such a fundamental question as why the NH is warmer than the SH and, hence provide a full account of why tropical rain belts are where they are.

APPENDIX

Fig. 16 compares the annual-mean inter-hemispheric temperature difference ($\Delta T$) using the Jones et al. (1999) data and NCEP/NCAR reanalysis data. The two data sets show surprisingly similar trend. $\Delta T$ was smaller in the mid 20th century during the main period of high
Northern Hemisphere aerosol concentrations until pollution controls and de-industrialization became effective at reducing emissions in the 1970’s on. The Atlantic Multidecadal Oscillation (AMO) would also have contributed to a weaker difference in the mid 20th century but, as Mann and Emanuel (2006) claim, aerosol impacts and the AMO may not be entirely independent.

For the entire available period of each data set, $\Delta T=1.30\pm0.11$ in the Jones et al. (1999) data and $1.27\pm0.17$ in NCEP/NCAR. In particular, for the same period from 1979 to 2010, $\Delta T=1.34\pm0.15$ in the Jones et al. (1999) data and $1.25\pm0.16$ in NCEP/NCAR. Although the Jones et al. (1999) data exhibits slightly higher $\Delta T$, the similarity of trends confirms the robustness. Hence, the figures are produced using finer resolution NCEP/NCAR, but the values in the text show the mean and the standard deviation from the two data sets.

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| $f_{LN}$ |         |        |        | $f_{NS}$ |        |        |
| $f_{ON}$ |         |        |        |         |        |        |
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\begin{align*}
\text{(a) $\Delta G_0=0$} & \quad \text{Fig. 14. Solutions from the box model: the inter-hemispheric difference of (a) surface temperature ($\Delta T = T_N - T_S$, in °C) as a function of prescribed oceanic transport $F_O$ (in PW), and (b) greenhouse trapping ($\Delta G = G_N - G_S$, in Wm$^{-2}$) as a function of $\Delta T$ for the case with no basic state $G$ difference ($\Delta G_0=0$). (c,d) Same as (a,b) but as a function of $\Delta G_0 (= G_{0,N} - G_{0,S})$ for no oceanic transport ($F_O=0$). (e,f) Same as (c,d) but for the case with $F_O=0.3$PW. The reference state (black) is the solution with the most reasonable parameters, $\frac{\partial G}{\partial T}=4.0 \text{ Wm}^{-2} \text{ K}^{-1}$ and $\frac{\partial F_A}{\partial \Delta T}=0.20 \text{ Wm}^{-2} \text{ K}^{-1}$. Blue is the case with no cross-equatorial atmospheric heat transport $\frac{\partial F_A}{\partial \Delta T}=0$ and red is with stronger greenhouse trapping $\frac{\partial G}{\partial T}=5.0 \text{ Wm}^{-2} \text{ K}^{-1}$. Black dashed lines denotes the annual mean values, and the gray shading denotes the one standard deviation of observed $\Delta T$ and $\Delta G$.}
\end{align*}
Fig. 15. The annual-mean surface temperature difference (in °C) between (a) GLO and CNT and (b) ATL and CNT.
Fig. 16. The annual-mean inter-hemispheric temperature difference ($\Delta T = T_N - T_S$) in °C from 1850 to 2010 using the Jones et al. (1999) data (solid) and that from 1949 to 2010 using NCEP/NCAR reanalysis (dashed).